



**Multifractality and e-e interaction
at MI, quantum Hall, and SI transitions**

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Plan

- Anderson localization and multifractality
- Dephasing and temperature scaling at MI and QH transitions
- Multifractality and interaction at SIT

50 years of Anderson localization



Philip W. Anderson

1958 “Absence of diffusion
in certain random lattices”

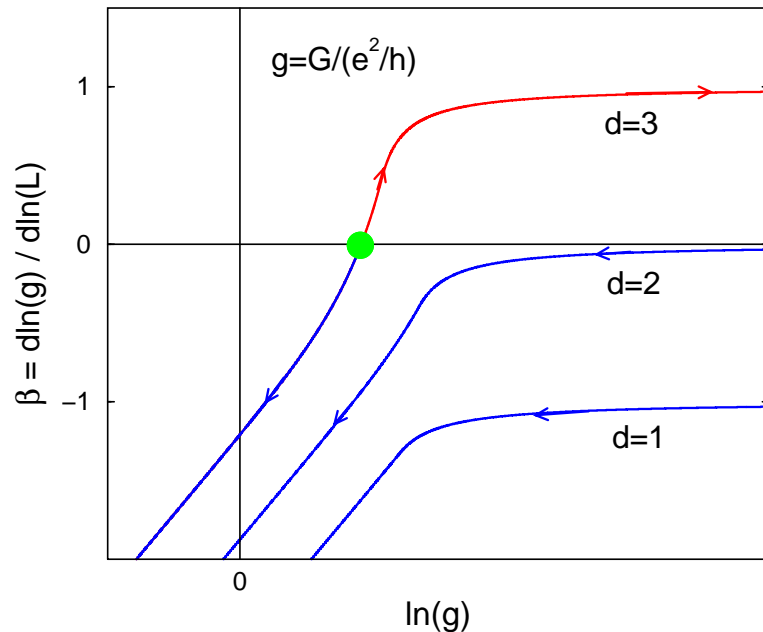
sufficiently strong disorder \longrightarrow quantum localization

\longrightarrow eigenstates exponentially localized, no diffusion

\longrightarrow Anderson insulator

The Nobel Prize in Physics 1977

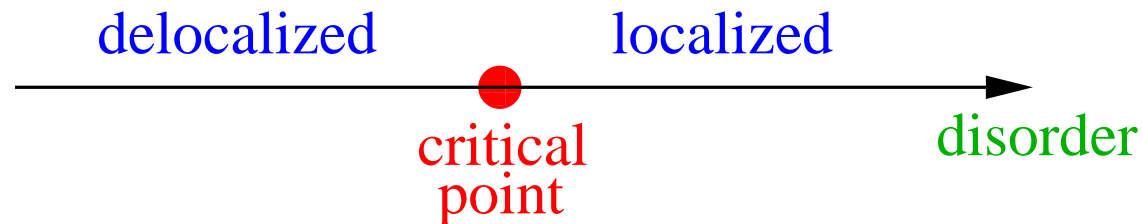
Anderson transition



Scaling theory of localization:
Abrahams, Anderson, Licciardello,
Ramakrishnan '79

Modern approach:
RG for field theory (σ -model)

quasi-1D, 2D: metallic \rightarrow localized crossover with decreasing g
 $d > 2$: metal-insulator transition (sometimes also in $d = 2$)



Continuous phase transition with highly unconventional properties!

review: Evers, ADM, Rev. Mod. Phys.'08

Field theory: non-linear σ -model

$$S[Q] = \frac{\pi\nu}{4} \int d^d\mathbf{r} \text{Str} [-D(\nabla Q)^2 - 2i\omega\Lambda Q], \quad Q^2(\mathbf{r}) = 1$$

Wegner '79

σ -model manifold: symmetric space

e.g. for broken time-reversal invariance:

$$U(2n)/U(n) \times U(n), \quad n \rightarrow 0$$

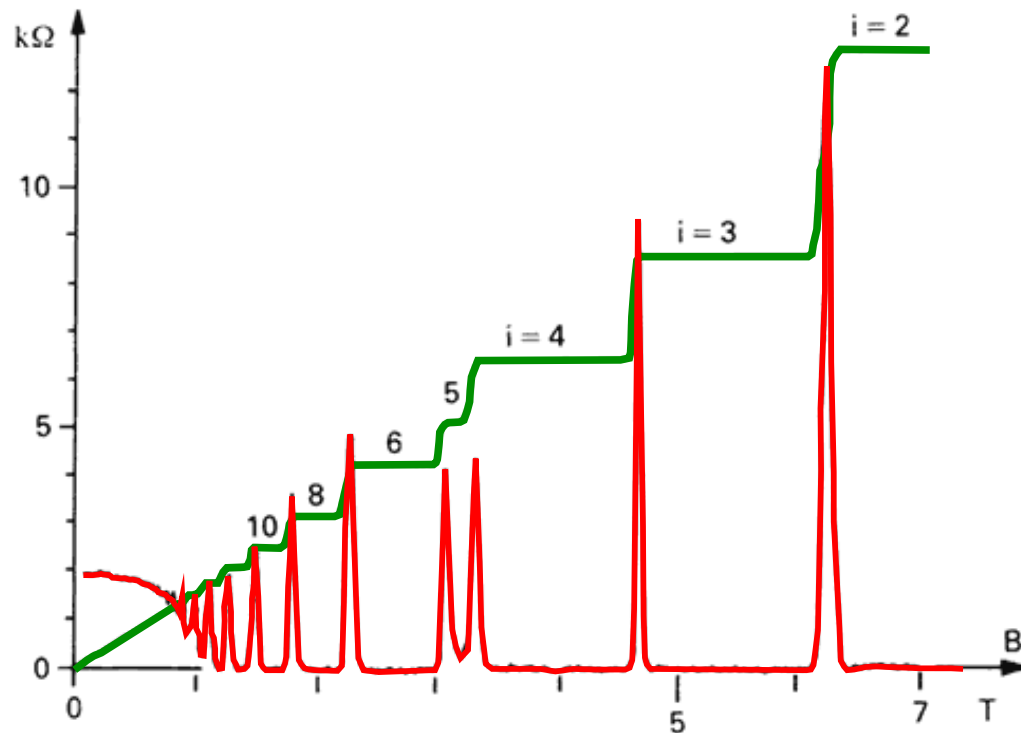
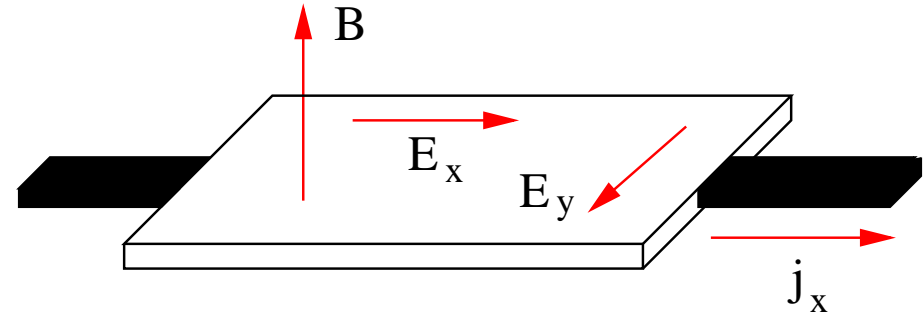
with Coulomb interaction: Finkelstein'83

Magnetotransport in 2D: Integer Quantum Hall Effect

resistivity tensor:

$$\rho_{xx} = E_x / j_x$$

$$\rho_{yx} = E_y / j_x \quad \text{Hall resistivity}$$

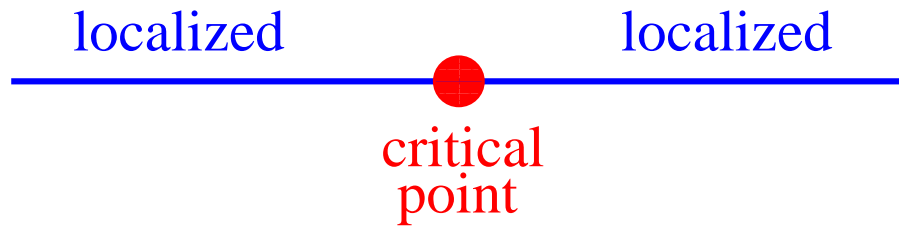


Klaus von Klitzing
Nobel Prize 1985

IQHE: \mathbb{Z} topological insulator

IQHE flow diagram

Khmelnitskii' 83, Pruisken' 84



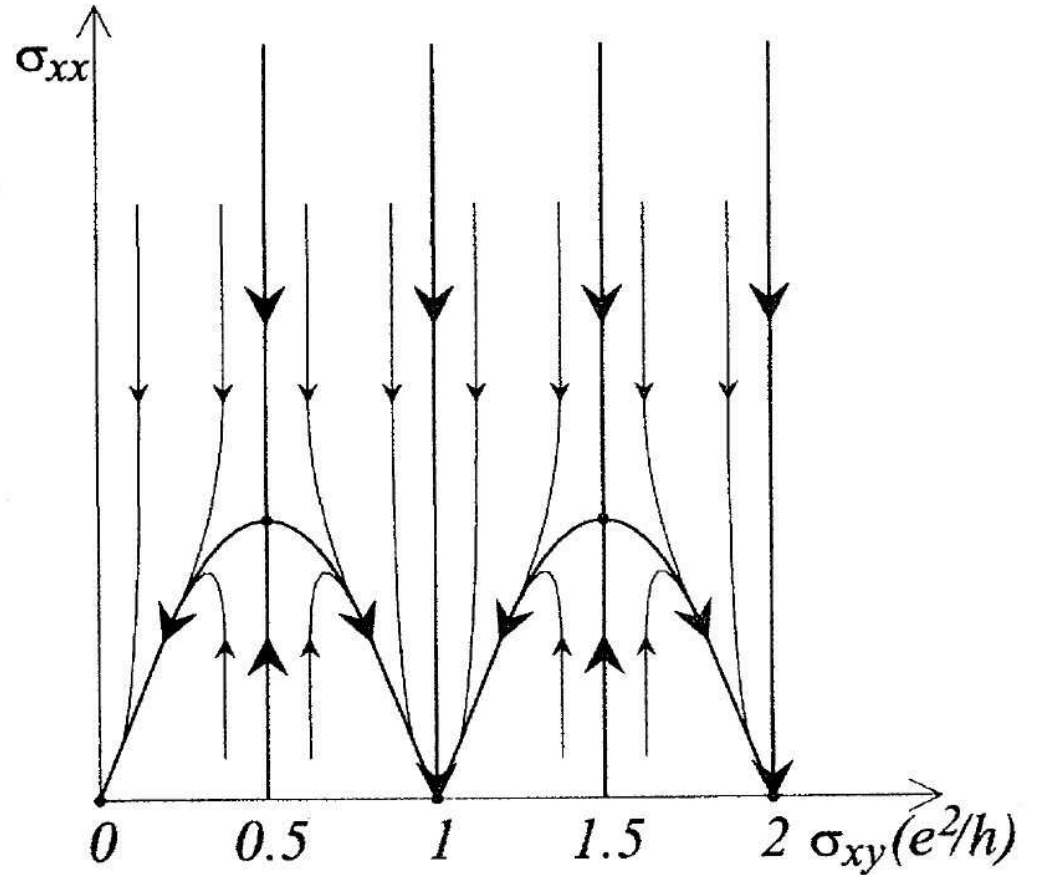
Field theory (Pruisken):

σ -model with topological term

$$S = \int d^2r \left\{ -\frac{\sigma_{xx}}{8} \text{Tr}(\partial_\mu Q)^2 + \frac{\sigma_{xy}}{8} \text{Tr} \epsilon_{\mu\nu} Q \partial_\mu Q \partial_\nu Q \right\}$$

QH insulators $\longrightarrow n = \dots, -2, -1, 0, 1, 2, \dots$ edge states

$\longrightarrow \mathbb{Z}$ topological insulator



Multifractality at the Anderson transition

$$P_q = \int d^d r |\psi(\mathbf{r})|^{2q} \quad \text{inverse participation ratio}$$

$$\langle P_q \rangle \sim \begin{cases} L^0 & \text{insulator} \\ L^{-\tau_q} & \text{critical} \\ L^{-d(q-1)} & \text{metal} \end{cases}$$

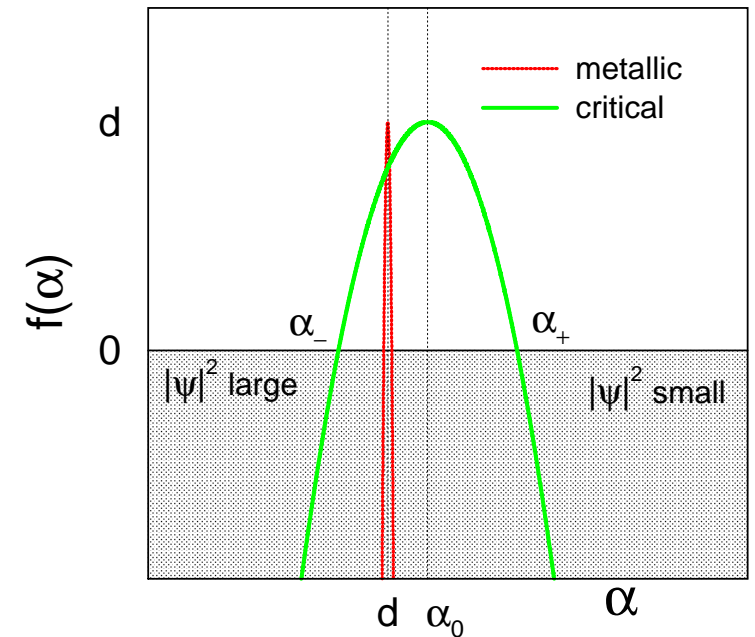
$$\tau_q = \underbrace{d(q-1)}_{\text{normal}} + \underbrace{\Delta_q}_{\text{anomalous}} \equiv D_q(q-1) \quad \text{multifractality}$$

$\tau_q \longrightarrow$ Legendre transformation
 \longrightarrow singularity spectrum $f(\alpha)$

wave function statistics:

$$\mathcal{P}(\ln |\psi^2|) \sim L^{-d+f(\ln |\psi^2|/\ln L)}$$

$L^{f(\alpha)}$ – measure of the set of points where $|\psi|^2 \sim L^{-\alpha}$



Multifractality and the field theory

- Δ_q – scaling dimensions of operators $\mathcal{O}^{(q)} \sim (Q\Lambda)^q$

$$d = 2 + \epsilon: \quad \Delta_q = -q(q-1)\epsilon + O(\epsilon^4) \quad \text{Wegner '80}$$

- Infinitely many operators with negative scaling dimensions
- wave function correlations \longleftrightarrow Operator Product Expansion
Wegner 85 ; Duplantier, Ludwig 91
- $\Delta_1 = 0 \longleftrightarrow \langle Q \rangle = \Lambda$ naive order parameter uncritical

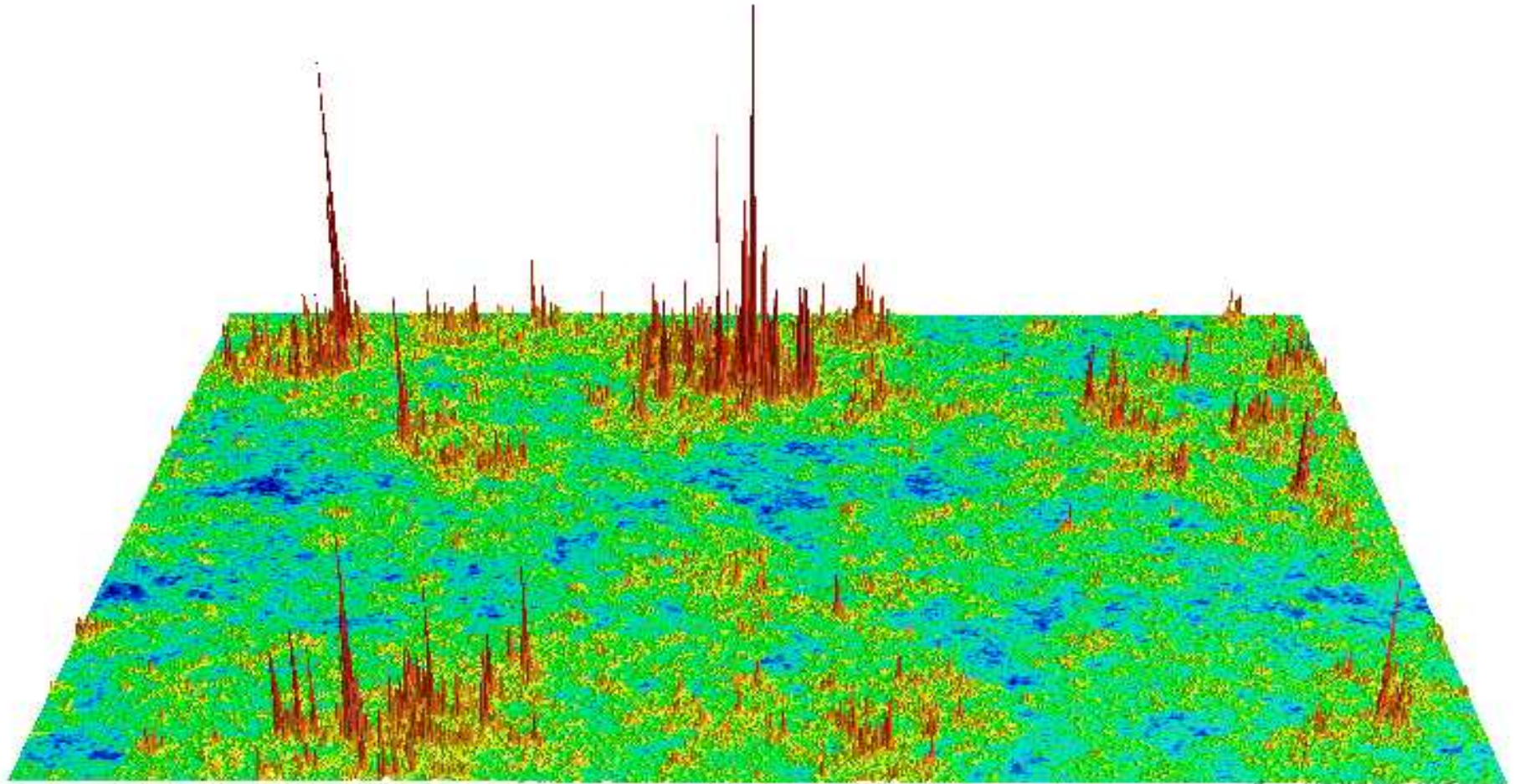
Transition described by an order parameter function $F(Q)$

Zirnbauer 86, Efetov 87

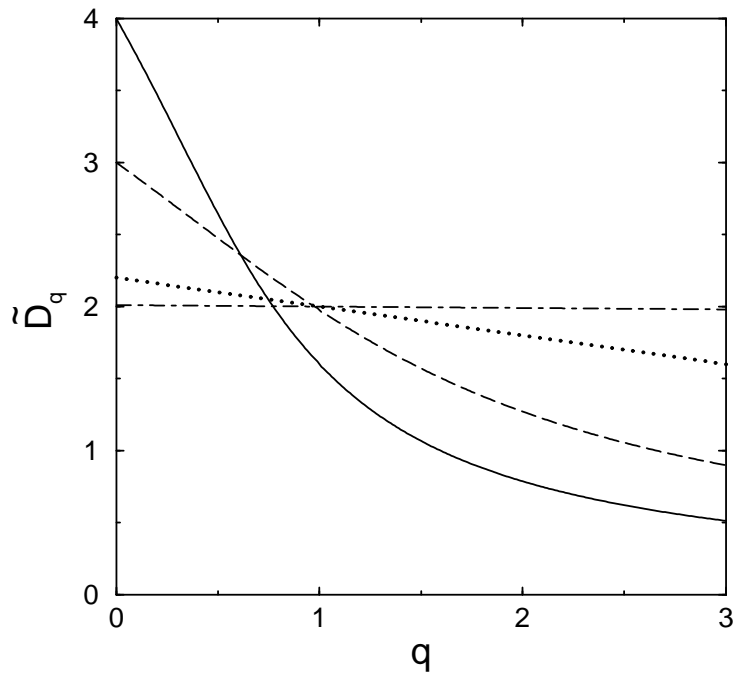
\longleftrightarrow distribution of local Green functions
and wave function amplitudes

ADM, Fyodorov '91

Multifractal wave functions at the Quantum Hall transition



Dimensionality dependence of multifractality



Analytics ($2 + \epsilon$, one-loop) and numerics

$$\tau_q = (q - 1)d - q(q - 1)\epsilon + O(\epsilon^4)$$

$$f(\alpha) = d - (d + \epsilon - \alpha)^2 / 4\epsilon + O(\epsilon^4)$$

$d = 4$ (full)

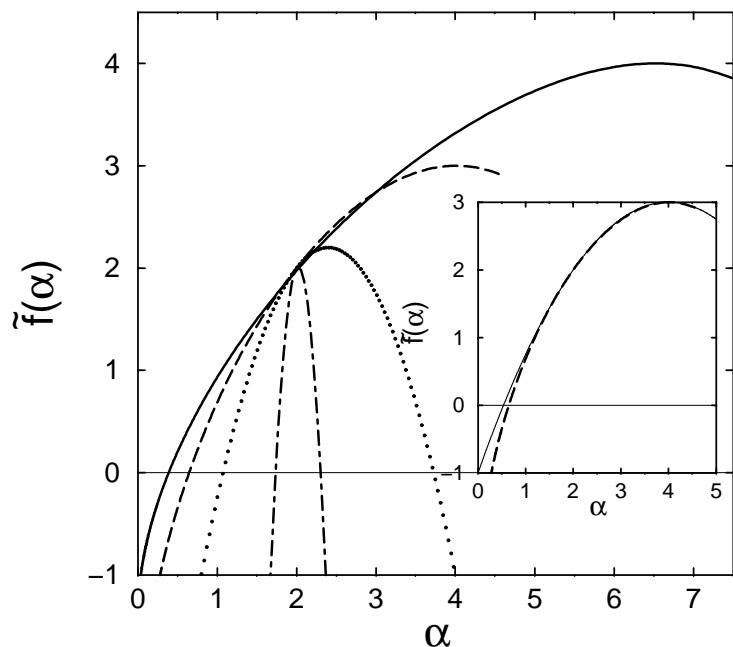
$d = 3$ (dashed)

$d = 2 + \epsilon$, $\epsilon = 0.2$ (dotted)

$d = 2 + \epsilon$, $\epsilon = 0.01$ (dot-dashed)

Inset: $d = 3$ (dashed)

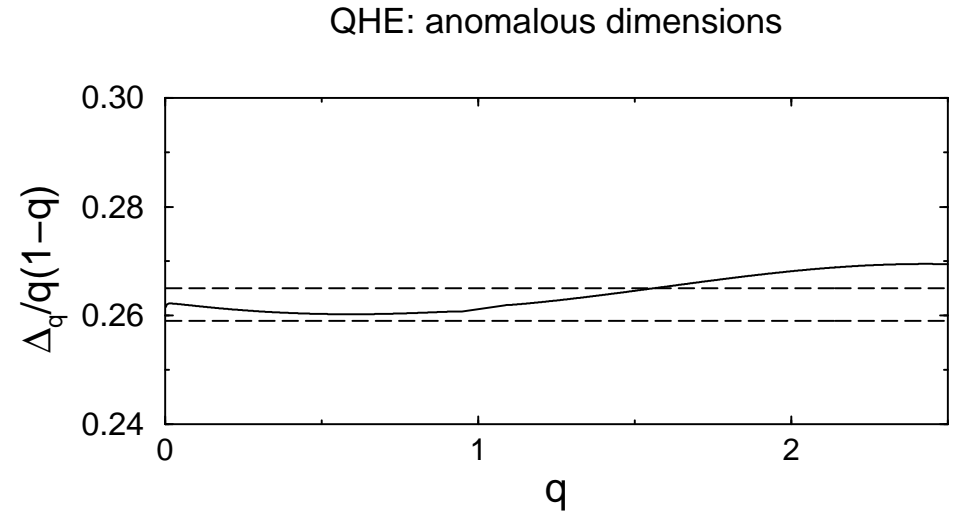
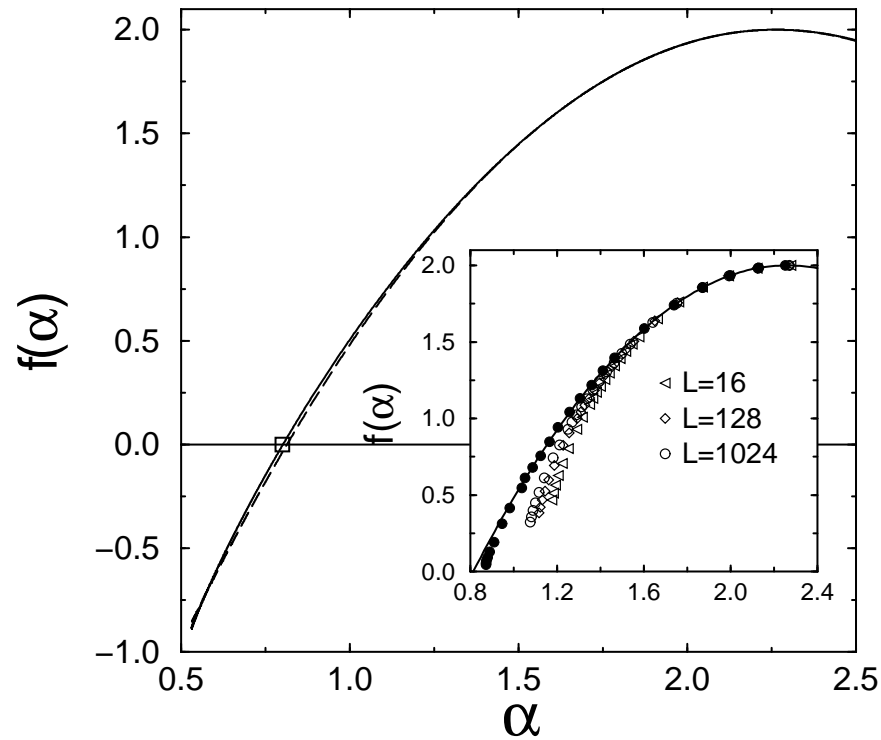
vs. $d = 2 + \epsilon$, $\epsilon = 1$ (full)



Mildenberger, Evers, ADM '02

Multifractality at the Quantum Hall critical point

Evers, Mildenberger, ADM '01



→ spectrum is close to parabolic (with small deviations):

$$f(\alpha) \simeq 2 - \frac{(\alpha - \alpha_0)^2}{4(\alpha_0 - 2)},$$

$$\Delta_q \simeq (\alpha_0 - 2)q(1 - q)$$

with $\alpha_0 - 2 = 0.262 \pm 0.003$

Dephasing at metal-insulator and quantum Hall transitions

e-e interaction \longrightarrow dephasing at finite T
 \longrightarrow smearing of the transition

local. length $\xi \propto |n - n_c|^{-\nu}$, dephasing length $L_\phi \propto T^{-1/z_T}$

\longrightarrow transition width $\delta n \propto |n - n_c|^\kappa$, $\kappa = 1/\nu z_T$

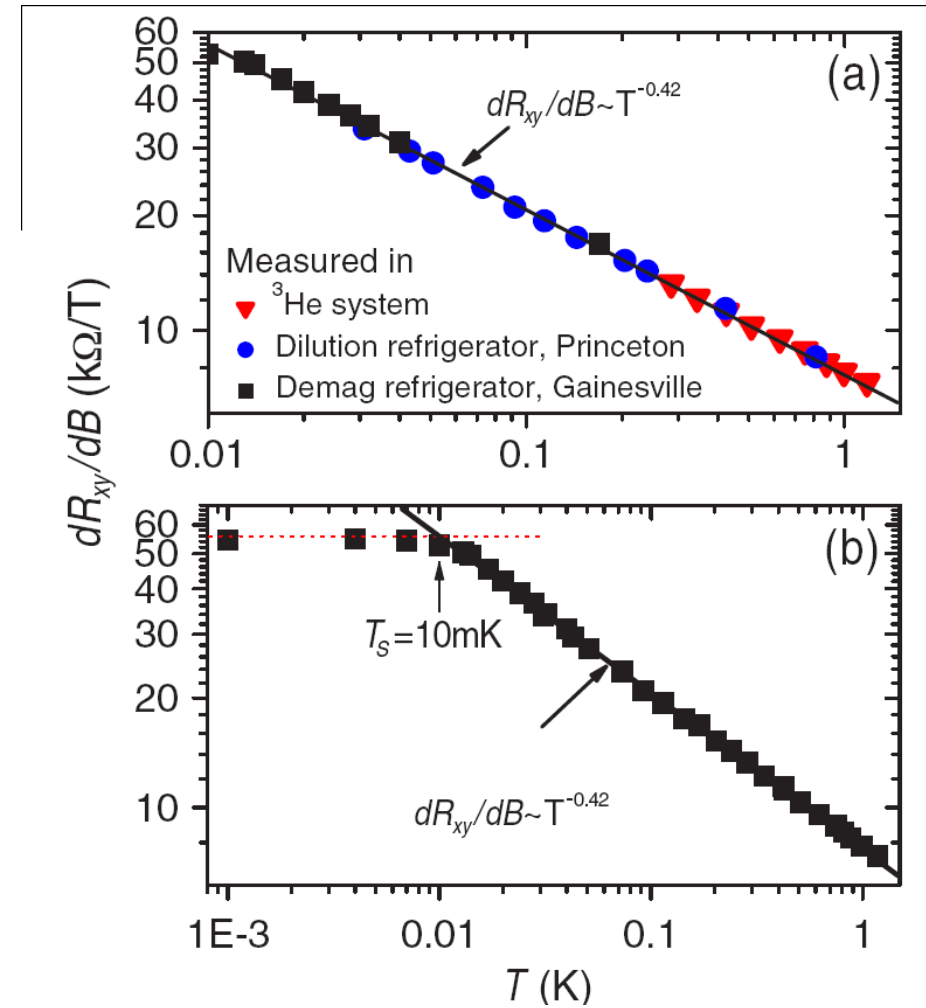
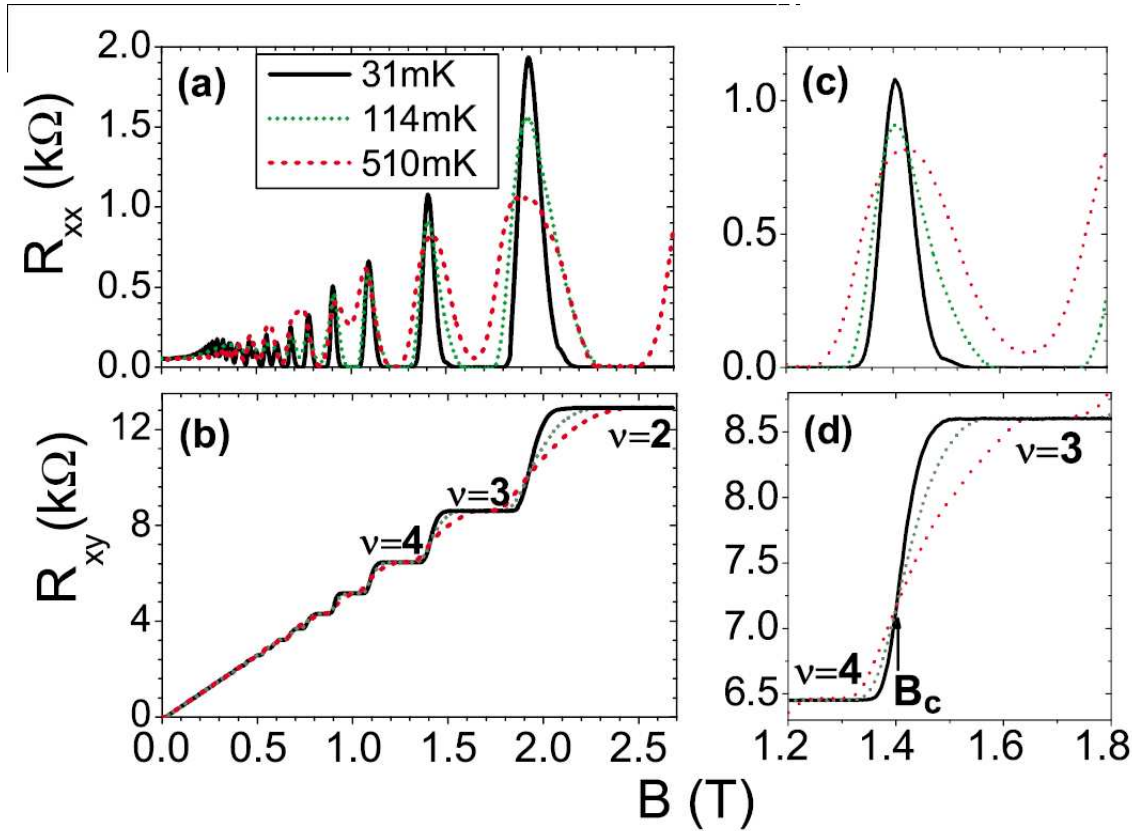
We focus on **short-range** e-e interaction:

- long-range Coulomb interaction negligible because of large dielectric constant
- 2D: screening by metallic gate
- interacting neutral particles (e.g. cold atoms)

Earlier works:

Lee, Wang, PRL'96; Wang, Fisher, Girvin, Chalker, PRB '00

Temperature scaling of quantum Hall transition



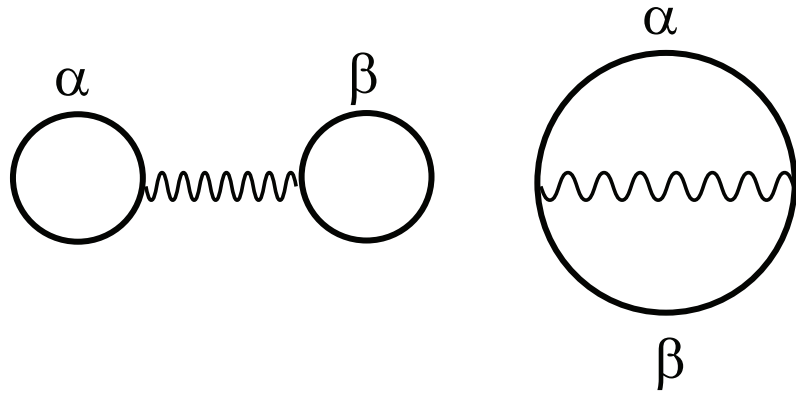
$$1/\nu z_T = 0.42 \pm 0.01$$

Wei, Tsui, Paalanen, Pruisken, PRL'88 ; Li et al., PRL'05, PRL'09

Interaction scaling at criticality

$$\mathcal{K}_1 = \frac{\Delta^2}{2} \sum_{\alpha\beta} \left\langle |\mathcal{B}_{\alpha\beta}(r_1, r_2)|^2 \delta(E + \omega - \epsilon_\alpha) \delta(E - \epsilon_\beta) \right\rangle$$

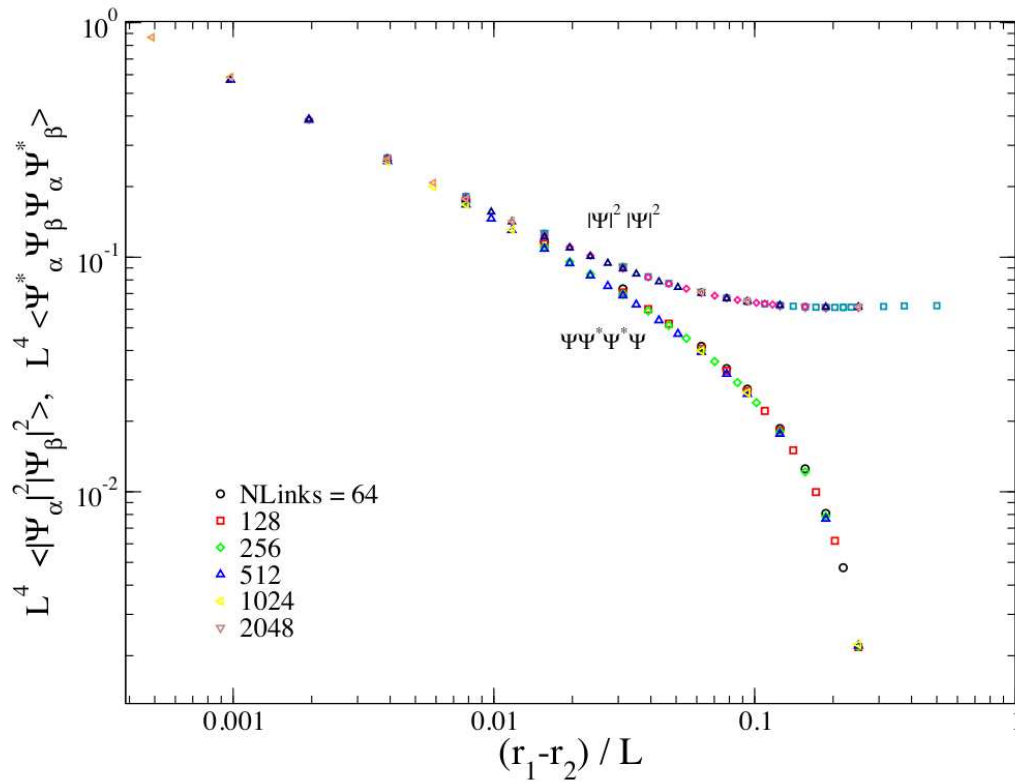
$$\mathcal{B}_{\alpha\beta}(r_1, r_2) = \phi_\alpha(r_1)\phi_\beta(r_2) - \phi_\alpha(r_2)\phi_\beta(r_1)$$



$$\mathcal{K}_1(r_1, r_2, E, \omega) = L^{-2d} \left(\frac{|r_1 - r_2|}{L_\omega} \right)^{\mu_2}, \quad |r_1 - r_2| \ll L_\omega$$

$$L_\omega = L(\Delta/|\omega|)^{1/d} \quad \text{length scale set by frequency } \omega$$

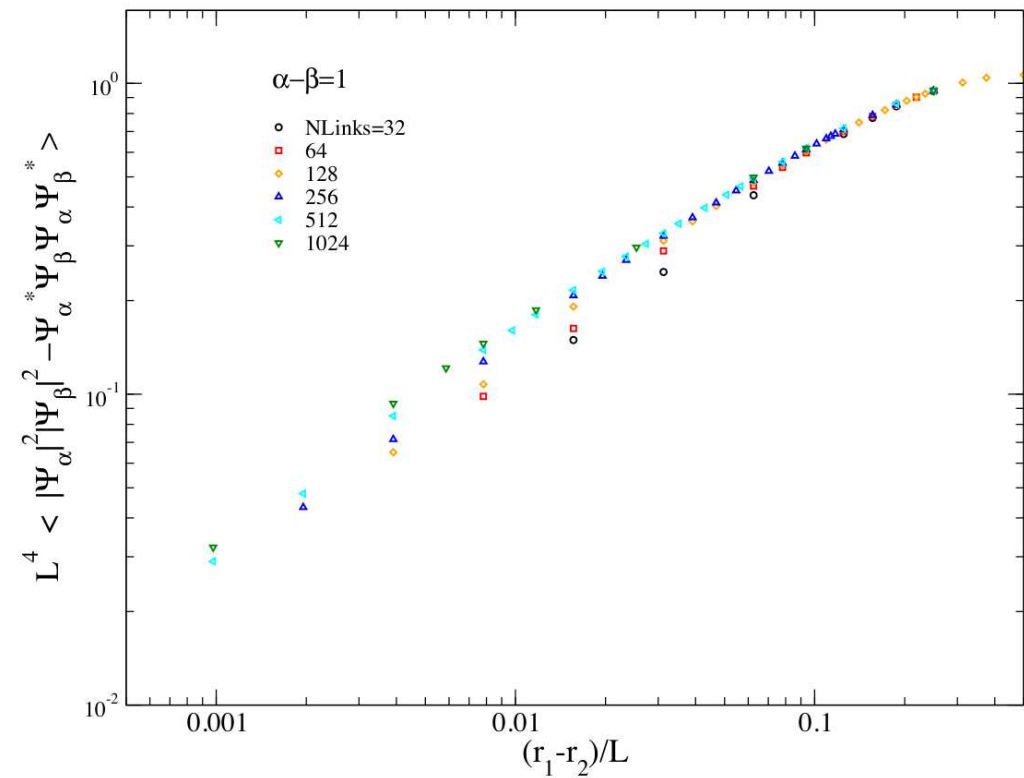
Interaction scaling at quantum Hall critical point



Hartree, Fock

enhanced by multifractality

exponent $\Delta_2 \simeq -0.52 < 0$

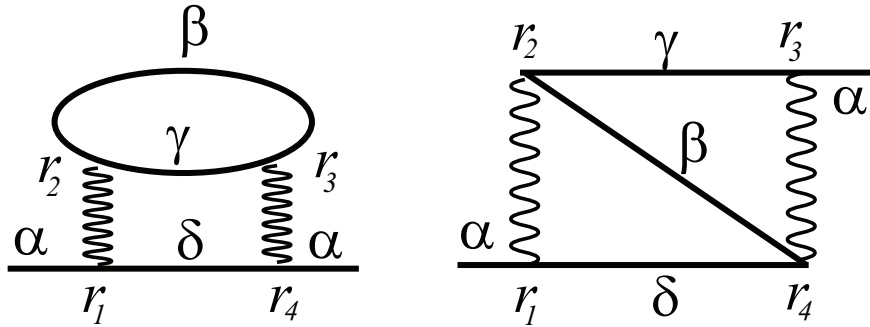


Hartree – Fock

suppressed by multifractality

exponent $\mu_2 \simeq 0.62 > 0$

Interaction-induced dephasing



$$\text{Im}\Sigma^R(0, 0) \sim -\frac{1}{2\Delta^3} \left(\prod_{j=1}^4 \int dr_j \right) U(r_1 - r_2)U(r_3 - r_4) \int d\Omega \Omega$$

$$\times \left\{ \coth \frac{\Omega}{2T} - \tanh \frac{\Omega}{2T} \right\} \mathcal{K}_2(\{r_j\}, 0, 0, \epsilon' \sim T, \Omega)$$

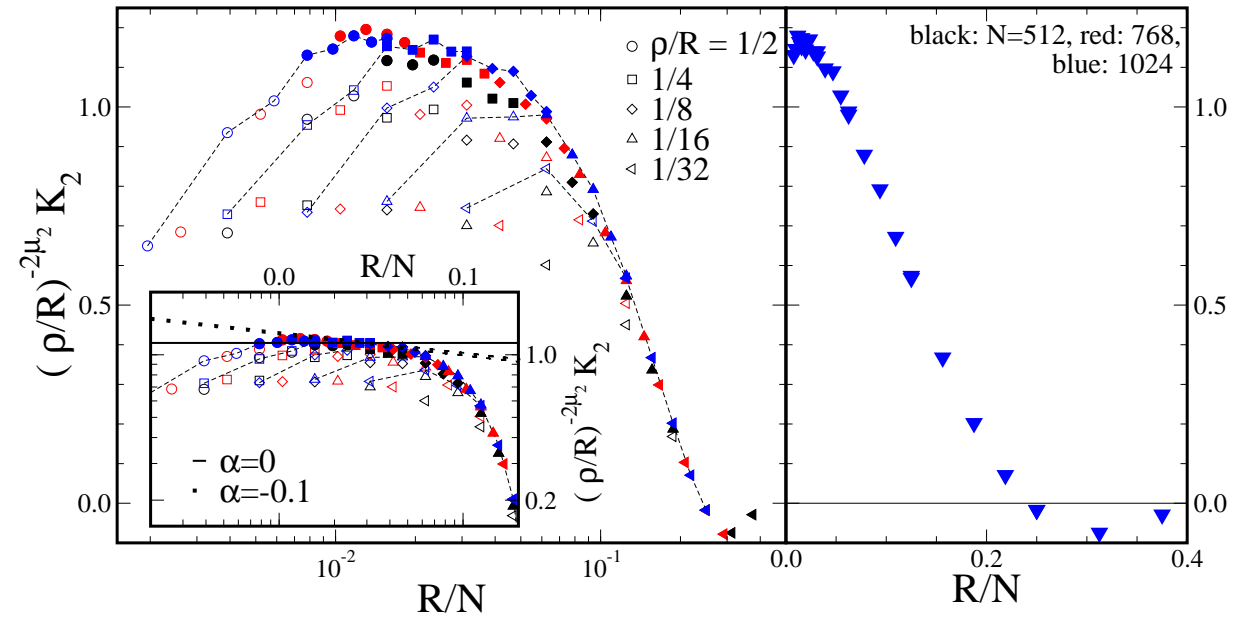
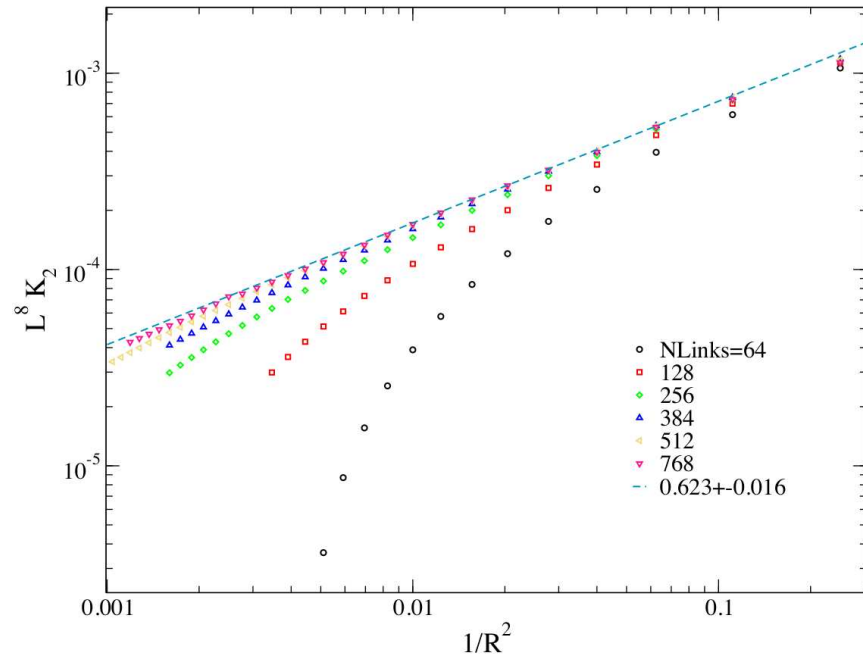
$$\mathcal{K}_2(\{r_j\}, E, \epsilon, \epsilon', \Omega) = \frac{\Delta^4}{8} \left\langle \sum_{\alpha\beta\gamma\delta} \mathcal{B}_{\alpha\beta}^*(r_1, r_2) \mathcal{B}_{\delta\gamma}(r_1, r_2) \mathcal{B}_{\gamma\delta}^*(r_3, r_4) \mathcal{B}_{\beta\alpha}(r_3, r_4) \right.$$

$$\left. \times \delta(E - \epsilon_\alpha) \delta(\epsilon' + \Omega - \epsilon_\beta) \delta(\epsilon' - \epsilon_\gamma) \delta(\epsilon + \Omega - \epsilon_\delta) \right\rangle.$$

$$\mathcal{K}_2(\{r_j\}, 0, 0, \epsilon' \sim \Omega, \Omega) = L^{-4d} \left(\frac{|r_1 - r_2| |r_3 - r_4|}{R} \right)^{\mu_2} \left(\frac{R}{L_\Omega} \right)^\alpha$$

$$R = (r_1 + r_2 - r_3 - r_4)/2$$

Interaction scaling at quantum Hall critical point: Second order



$\mu = 0.62 \pm 0.05$ in agreement with scaling of first order

$\alpha = -0.05 \pm 0.1$ (possibly exactly zero)

Dephasing rate at criticality and transition width

- short-range interaction: decays faster than $r^{-d-\mu_2}$

- $\alpha > 2\mu_2 - d$

→ dephasing rate $\tau_\phi^{-1} \propto T^p$ with $p = 1 + 2\mu_2/d$

dephasing length

$$L_\phi \propto T^{-1/z_T} \qquad z_T = \frac{d}{p} = \frac{d}{1 + 2\mu_2/d}$$

z_T – dynamical critical exponent for scaling with T

ω -scaling: another dynam. exponent; in the present case $z = d$

Transition width exponent $\kappa = \frac{1}{z_T \nu} = \frac{1 + 2\mu_2/d}{\nu d}$

$$\mu \simeq 0.62, \alpha \simeq -0.05 \quad \longrightarrow \quad p \simeq 1.62 \quad \longrightarrow \quad z_T \simeq 1.23$$

$$\nu \simeq 2.35 \quad (\text{Huckestein et al '92, ...}) \quad \longrightarrow \quad \kappa \simeq 0.346$$

$$\nu \simeq 2.59 \quad (\text{Ohtsuki, Slevin '09}) \quad \longrightarrow \quad \kappa \simeq 0.314$$

Scaling at QH transition: Theory and Experiment

Experiment (long-range $1/r$ Coulomb interaction):

$$\kappa = 0.42 \pm 0.01$$

Reported results $\nu \simeq 2.3 \pm 0.1$, $z = z_T \simeq 1.0 \pm 0.1$

may be strongly affected by systematic errors.

Theory (short-range interaction):

$$z = 2, \quad z_T \simeq 1.62, \quad \nu = 2.35 \div 2.59, \quad \kappa = 0.314 \div 0.346$$

No controllable theoretical results for long-range interaction

Difference in κ fully consistent with short-range and

Coulomb ($1/r$) problems being in different universality classes

→ all exponents expected to be different

Experimental realization of short-range interaction
would be very interesting!

Anderson transition: $2 + \epsilon$ dimensions, short-range interaction

$$-dt/d \ln L \equiv \beta(t) = \epsilon t - 2t^3 - 6t^5 + O(t^7) \quad (\text{Wegner '89})$$

$$t = 1/2\pi g \quad g - \text{dimensionless conductance}$$

$$\text{Metal-insulator transition at } t_* = \left(\frac{\epsilon}{2}\right)^{1/2} - \frac{3}{2} \left(\frac{\epsilon}{2}\right)^{3/2} + O(\epsilon^{5/2})$$

$$\text{Localization length index } \nu = -1/\beta'(t_*) = \frac{1}{2\epsilon} - \frac{3}{4} + O(\epsilon)$$

Exponents controlling scaling of interaction:

$$\mu_2 = \sqrt{2\epsilon} - \frac{3}{2}\zeta(3)\epsilon^2 + O(\epsilon^{5/2}) \quad \alpha = O(\epsilon^{5/2})$$

Temperature scaling of transition:

$$z_T = 2 - 2\sqrt{2}\epsilon^{1/2} + 5\epsilon - 4\sqrt{2}\epsilon^{3/2} + O(\epsilon^2)$$

$$\kappa = \epsilon + \sqrt{2}\epsilon^{3/2} + \epsilon^2 + \epsilon^{5/2}/\sqrt{2} + O(\epsilon^3)$$

Anderson transition: $2 + \epsilon$ dimensions, Coulomb interaction

Broken time-reversal symmetry (unitary class), 2-loop calculation

Baranov, Burmistrov, Pruisken, PRB '02

$$\beta(t) = \epsilon t - 2t^2 - 4At^3 ; \quad A \simeq 1.64$$

$$t_* = \frac{\epsilon}{2} - \frac{A}{2}\epsilon^2 + O(\epsilon^3)$$

$$\nu = \frac{1}{\epsilon} - A + O(\epsilon)$$

$$z = z_T = 2 + \frac{\epsilon}{2} + \left(\frac{A}{2} - \frac{\pi^2}{24} - \frac{3}{4} \right) \epsilon^2 + O(\epsilon^3)$$

$$\kappa = \frac{\epsilon}{2} + \left(\frac{A}{2} - \frac{1}{8} \right) \epsilon^2 + O(\epsilon^3)$$

Exponents for short-range and Coulomb interaction are different!

Anderson transition in 3D: Short-range vs Coulomb

Theory, short-range interaction:

$$\nu = 1.57 \pm 0.02 \quad (\text{Slevin, Ohtsuki '99})$$

μ_2 and α remain to be calculated $\longrightarrow z_T, \kappa$

Coulomb interaction: no controllable theory for exponents

Experiment (Coulomb):

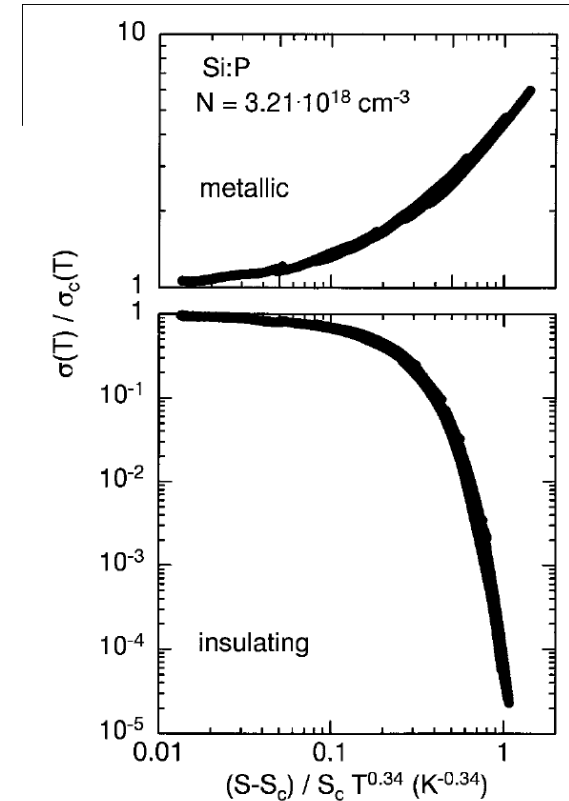
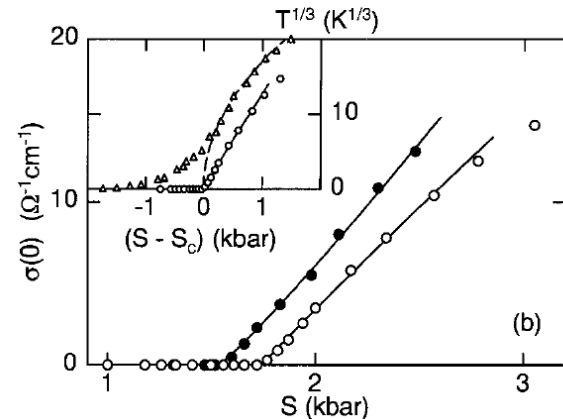
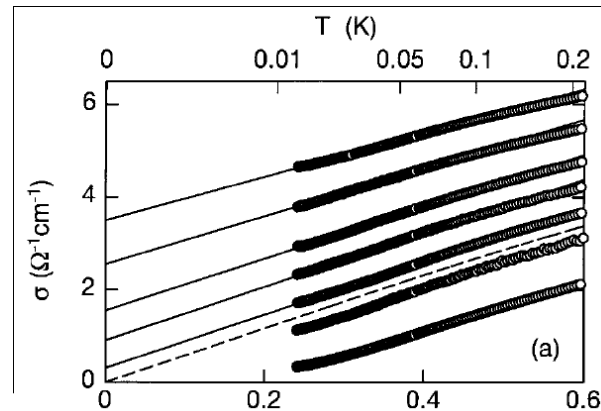
$$s = \nu \simeq 1.0 \pm 0.1$$

z_T in the range from 2 to 3

Experiment, short-range:

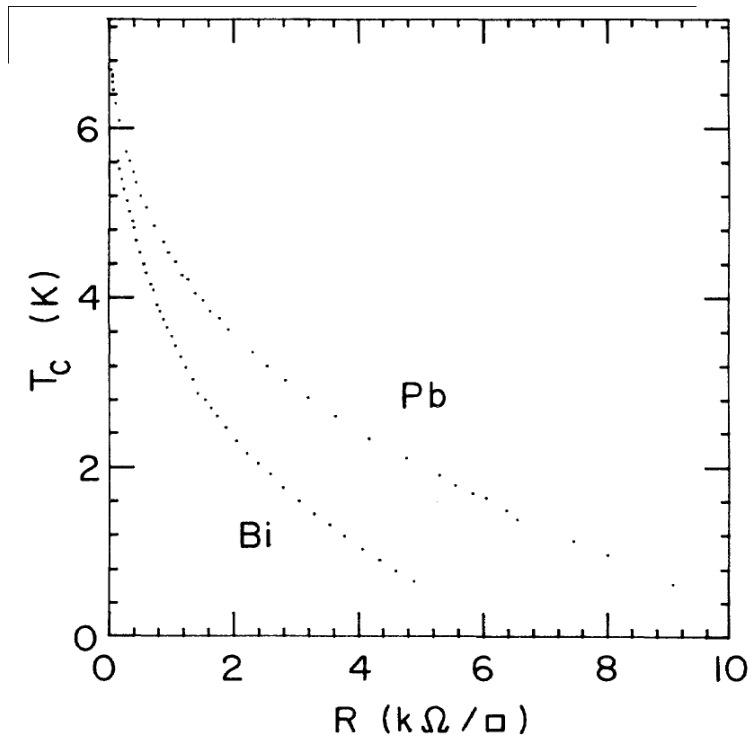
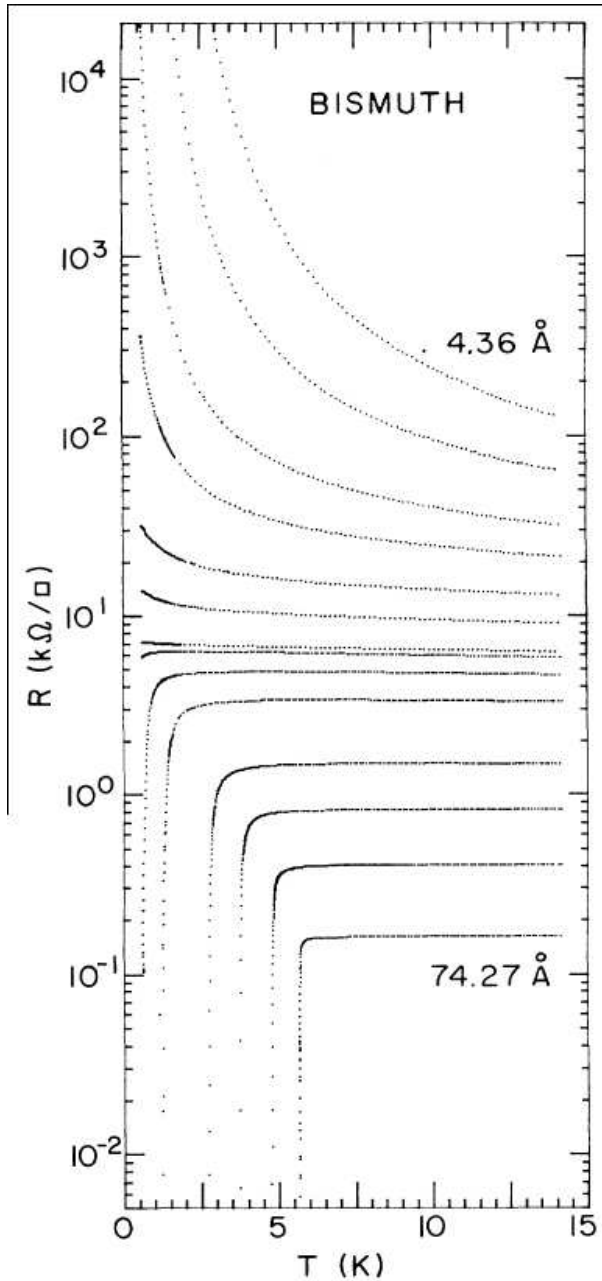
not available

Cold atom systems?



Waffenschmidt, Pfeleiderer, v. Löhneysen, PRL'99

Superconductor-Insulator Transition



Haviland, Liu,
Goldman, PRL'89

Suppression of T_c by disorder

RG theory: Finkelstein '87:
combined effect of disorder
and Coulomb interaction

What is the effect of disorder on T_c
if the interaction is short-range ?

Enhancement of superconductivity by multifractality

short-range interaction

Feigelman et al, PRL '07, Ann. Phys.'10 :

multifractality of wave functions near MIT in 3D

→ enhancement of Cooper-interaction matrix elements

→ enhancement of T_c

- what are predictions of **RG** ?
- effect of disorder on T_c in **2D** systems ?

SIT in disordered 2D system: Orthogonal symmetry class

Funkelstein's σ -model RG but with **short-range** (rather than Coulomb) **spin-singlet interaction** γ_s :

$$\frac{dt}{dy} = t^2 - \left(\frac{\gamma_s}{2} + 3\frac{\gamma_t}{2} + \gamma_c\right)t^2$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2}(\gamma_s + 3\gamma_t + 2\gamma_c)$$

$$\frac{d\gamma_t}{dy} = -\frac{t}{2}(\gamma_s - \gamma_t - 2\gamma_c)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2}(\gamma_s - 3\gamma_t) - \gamma_c^2 \quad y \equiv \ln L$$

Interactions: **singlet** γ_s , **triplet** γ_t , **Cooper** γ_c

Disorder: dimensionless **resistivity** $t = 1/G$

Assume small bare values: $t_0, \gamma_{i,0} \ll 1$

SIT in disordered 2D system: Orthogonal class (cont'd)

Weak interaction \longrightarrow discard $\gamma_i t^2$ contributions to $dt/d \ln L$

$$\frac{d}{dy} \begin{pmatrix} \gamma_s \\ \gamma_t \\ \gamma_c \end{pmatrix} = -\frac{t}{2} \begin{pmatrix} 1 & 3 & 2 \\ 1 & -1 & -2 \\ 1 & -3 & 0 \end{pmatrix} \begin{pmatrix} \gamma_s \\ \gamma_t \\ \gamma_c \end{pmatrix} - \begin{pmatrix} 0 \\ 0 \\ \gamma_c^2 \end{pmatrix}; \quad \frac{dt}{dy} = t^2$$

Eigenvalues and -vectors of linear problem (without BCS term γ_c^2):

$$\lambda_1 = 2t : \begin{pmatrix} -1 \\ 1 \\ 1 \end{pmatrix}; \quad \lambda_{2,3} = -t : \begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix} \text{ and } \begin{pmatrix} 1 \\ -1 \\ 2 \end{pmatrix}$$

2D system is “weakly critical” (on scales shorter than ξ)

The **eigenvalues** λ_i are exactly **multifractal exponents**:

$$\lambda_1 \equiv -\Delta_2 > 0 \text{ (RG relevant)}, \quad \lambda_{2,3} = -\mu_2 < 0 \text{ (RG irrelevant)}$$

SIT in disordered 2D system: Orthogonal class (cont'd)

Couplings that diagonalize the linear system:

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \\ \gamma_3 \end{pmatrix} = \begin{pmatrix} -\frac{1}{6} & \frac{1}{2} & \frac{1}{3} \\ \frac{1}{2} & \frac{1}{2} & 0 \\ \frac{1}{3} & 0 & \frac{1}{3} \end{pmatrix} \begin{pmatrix} \gamma_s \\ \gamma_t \\ \gamma_c \end{pmatrix}$$

Upon RG γ_1 increases, whereas $\gamma_{2,3}$ decrease.

Solution approaches the λ_1 -eigenvector, i.e.. $\gamma_s = -\gamma_t = -\gamma_c$

→ neglect $\gamma_{2,3}$ and keep γ_1 only:

$$\frac{d\gamma_1}{dy} = 2t\gamma_1 - \frac{1}{3}\gamma_1^2 \quad t(y) = \frac{t_0}{1 - t_0 y}$$

Superconductivity may develop if the starting value

$$\gamma_{1,0} = \frac{1}{6}(-\gamma_{s,0} + 3\gamma_{t,0} + 2\gamma_{c,0}) < 0$$

SIT in disordered 2D system, orthogonal class: Results

$$T_c \sim \exp \left\{ -2/|\gamma_{c,0}| \right\} \quad (\text{BCS}) ,$$

$$G_0 \gtrsim |\gamma_{1,0}|^{-1}$$

$$T_c \sim \exp \left\{ -2G_0 \right\} ,$$

$$|\gamma_{1,0}|^{-1/2} \lesssim G_0 \lesssim |\gamma_{1,0}|^{-1}$$

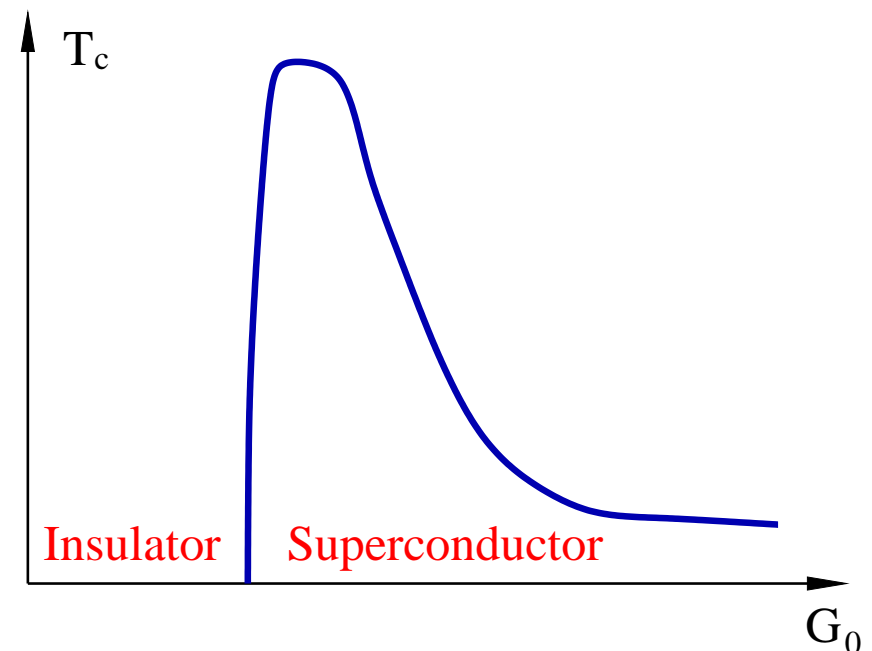
insulator ,

$$G_0 \lesssim |\gamma_{1,0}|^{-1/2}$$

Non-monotonous dependence
of T_c on disorder (G_0)

Exponentially strong enhancement
of superconductivity by multifractality
in the intermediate disorder range,

$$|\gamma_{1,0}|^{-1/2} \lesssim G_0 \lesssim |\gamma_{1,0}|^{-1}$$



2D: BCS and BKT

Calculating T_c , we treated superconductivity on the BCS level.

The actual transition in 2D is of the BKT character.

However, the temperatures are close, $T_{\text{BKT}} \simeq T_c$

Beasley, Mooij, Orlando, PRL '79

Halperin, Nelson, JLTP'79

Kadin, Epstein, Goldman, PRB'83

Benfatto, Castellani, Giamarchi, PRB'09

Since the obtained enhancement of T_c is large,
it should be equally applicable to T_{BKT}

Disordered 2D system: Symplectic symmetry class

Strong spin-orbit interaction \longrightarrow only spin-singlet modes survive

$$\frac{dt}{dy} = -\frac{1}{2}t^2 - \left(\frac{\gamma_s}{2} + \gamma_c\right)t^2$$

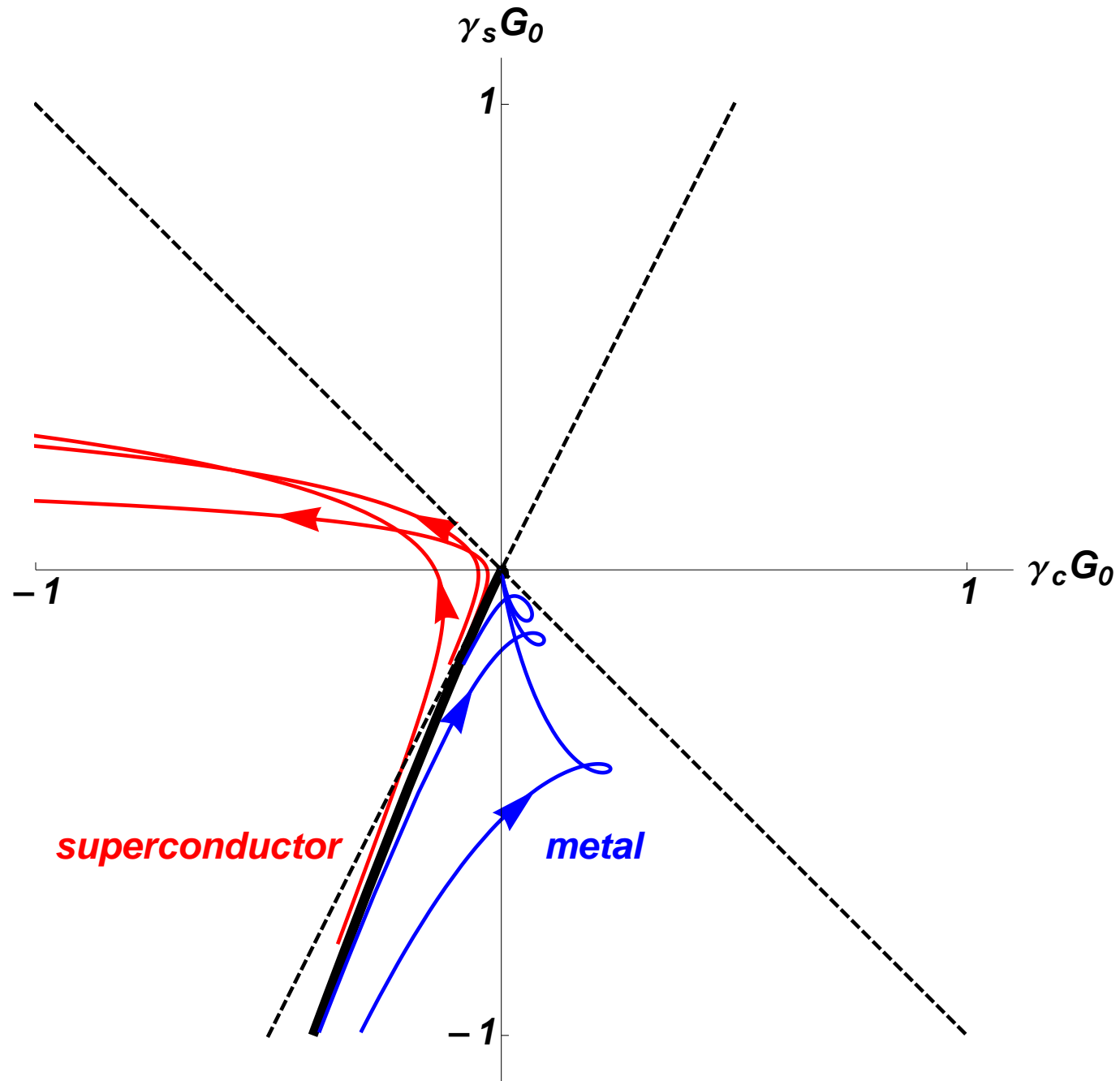
$$\frac{d\gamma_s}{dy} = -\frac{t}{2}(\gamma_s + 2\gamma_c)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2}\gamma_s - \gamma_c^2$$

$$t(y) = \frac{t_0}{1 + yt_0/2} \quad \text{antilocalization}$$

$$\frac{d}{dy} \begin{pmatrix} \gamma_s \\ \gamma_c \end{pmatrix} = -\frac{t}{2} \begin{pmatrix} 1 & 2 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \gamma_s \\ \gamma_c \end{pmatrix} - \begin{pmatrix} 0 \\ \gamma_c^2 \end{pmatrix}$$

Disordered 2D system: Symplectic symmetry class



Disordered 2D system: Symplectic class (cont'd)

Eigenvalues and -vectors of linear system:

$$\lambda_1 = \frac{t}{2} : \begin{pmatrix} -1 \\ 1 \end{pmatrix} ; \quad \lambda_2 = -t : \begin{pmatrix} 2 \\ 1 \end{pmatrix}$$

Again λ_i are **multifractal exponents**:

$\lambda_1 \equiv -\Delta_2 > 0$ (RG relevant), $\lambda_{2,3} = -\mu_2 < 0$ (RG irrelevant)

Couplings that diagonalize
the linear system:

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \end{pmatrix} = \begin{pmatrix} -\frac{1}{3} & \frac{2}{3} \\ \frac{1}{3} & \frac{1}{3} \end{pmatrix} \begin{pmatrix} \gamma_s \\ \gamma_c \end{pmatrix}$$

Upon RG γ_1 increases, whereas γ_2 decreases.

Solution approaches the λ_1 -eigenvector, i.e.. $\gamma_s = -\gamma_c$

→ neglect γ_2 and keep γ_1 only:

$$\frac{d\gamma_1}{dy} = \frac{t}{2}\gamma_1 - \frac{2}{3}\gamma_1^2 \quad t(y) = \frac{t_0}{1 + t_0 y/2}$$

Disordered 2D system, symplectic class: Results

Superconductivity if $\gamma_{1,0} = \frac{1}{3}(-\gamma_{s,0} + 2\gamma_{c,0}) < 0$

$$T_c \sim \exp \left\{ -2/|\gamma_{c,0}| \right\} \quad (\text{BCS}) , \quad G_0 \gtrsim |\gamma_{1,0}|^{-1}$$

$$T_c \sim \exp \left\{ -c(G_0/|\gamma_{1,0}|)^{1/2} \right\} , \quad 1 \lesssim G_0 \lesssim |\gamma_{1,0}|^{-1}$$

**Exponentially strong enhancement of superconductivity
by multifractality** at $1 \lesssim G_0 \lesssim |\gamma_{1,0}|^{-1}$

Before the system becomes insulator at $G_0 < G_c \sim 1$,
there is a further enhancement of T_c
near the Anderson transition point G_c

SIT near Anderson transition

Consider system at Anderson localization transition in 2D (symplectic symmetry class) or 3D

$$\frac{d\gamma_1}{dy} = -\Delta_2\gamma_1 - \gamma_1^2$$

Superconductivity if $\gamma_{1,0} < 0$

$\Delta_2 < 0$ – **multifractal exponent** at Anderson transition point

$$T_c \sim |\gamma_{c,0}|^{d/|\Delta_2|}$$

Strong enhancement of superconductivity:

Power-law instead of exponential dependence of T_c on interaction!

Agrees with **Feigelman et al.**

Summary

- Multifractality of wave functions – remarkable property of Anderson localization transitions
- Strongly affects interaction-induced physics in problems with short-range interaction
- Scaling of dephasing rate and transition width for MI and quantum Hall transitions is controlled by multifractality
- Non-monotonous dependence of T_c on resistivity; exponential enhancement of superconductivity by multifractality in dirty 2D systems and near Anderson transitions
- Possible experimental realizations:
 - systems with strongly screened Coulomb interaction (large ϵ , metallic gate)
 - interacting neutral fermions (cold atoms, ...)