

# General theory of quantum phase transitions applied to transport phenomena

- 1. Metal – insulator transitions, 3D and 2D**
- 2. Superconductor – insulator transitions**

The partition function  $Z = \sum_i e^{-\varepsilon_i/T}$  determines probability  $p_i$  for state  $\varepsilon_i$  to exist

$$p_i = \frac{e^{-\varepsilon_i/T}}{Z}$$

and probability  $P_i$  for the system to be in the point  $q$  of the configurational space

$$P_i(q) = \frac{1}{Z} \sum_i \varphi_i^*(q) \varphi_i(q) e^{-\varepsilon_i/T}.$$

Since  $\int P(q) dq = 1$ , the partition function is

$$Z = \sum_i \int \varphi_i^*(q) \varphi_i(q) e^{-\varepsilon_i/T} dq$$

$$\tilde{\tau} = i \frac{\eta}{T}$$

**Compare** with time-evolution operator

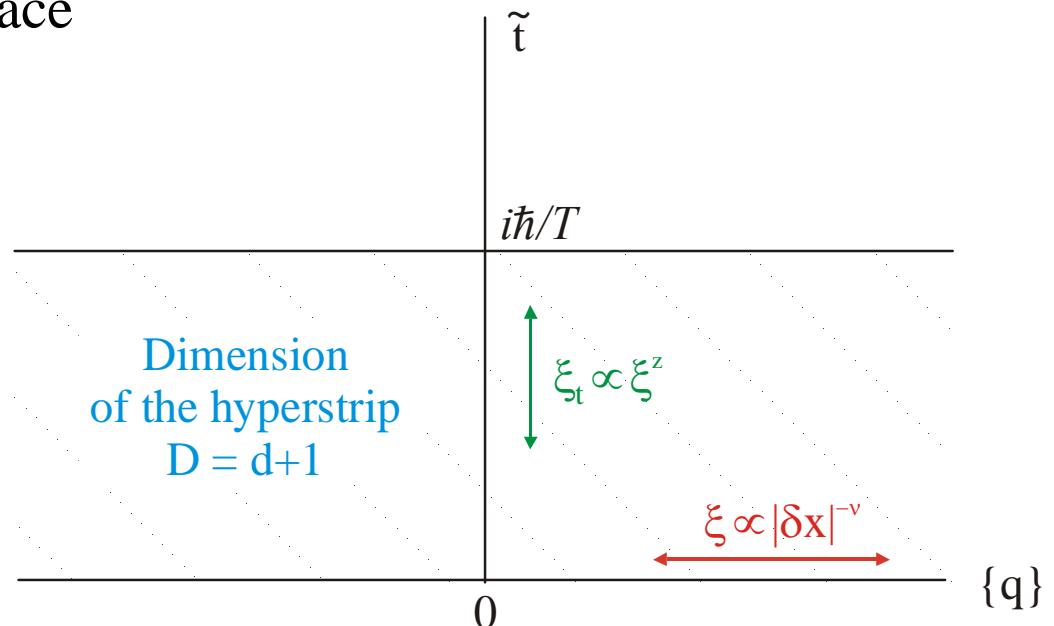
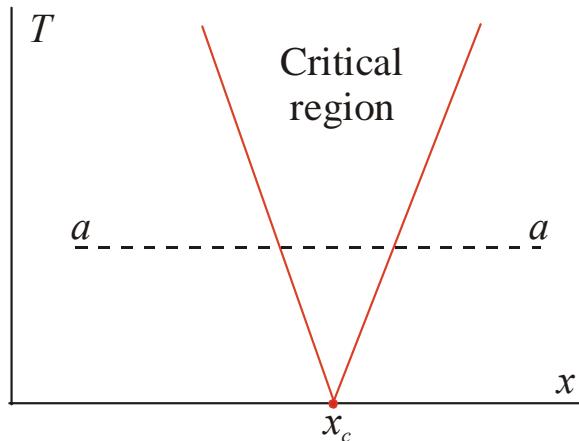
$$\hat{S} = \exp\left(-\frac{i}{\eta} \hat{H}t\right)$$

and its diagonal matrix elements

$$\int \varphi_i^*(q) \varphi_i(q) e^{-i\varepsilon_i t/\eta} dq$$

in quantum mechanics

The mapping  
of the quantum phase transition in  $d$ -space  
to the classical phase transition in  
 $D = d + 1$  – dimensional strip



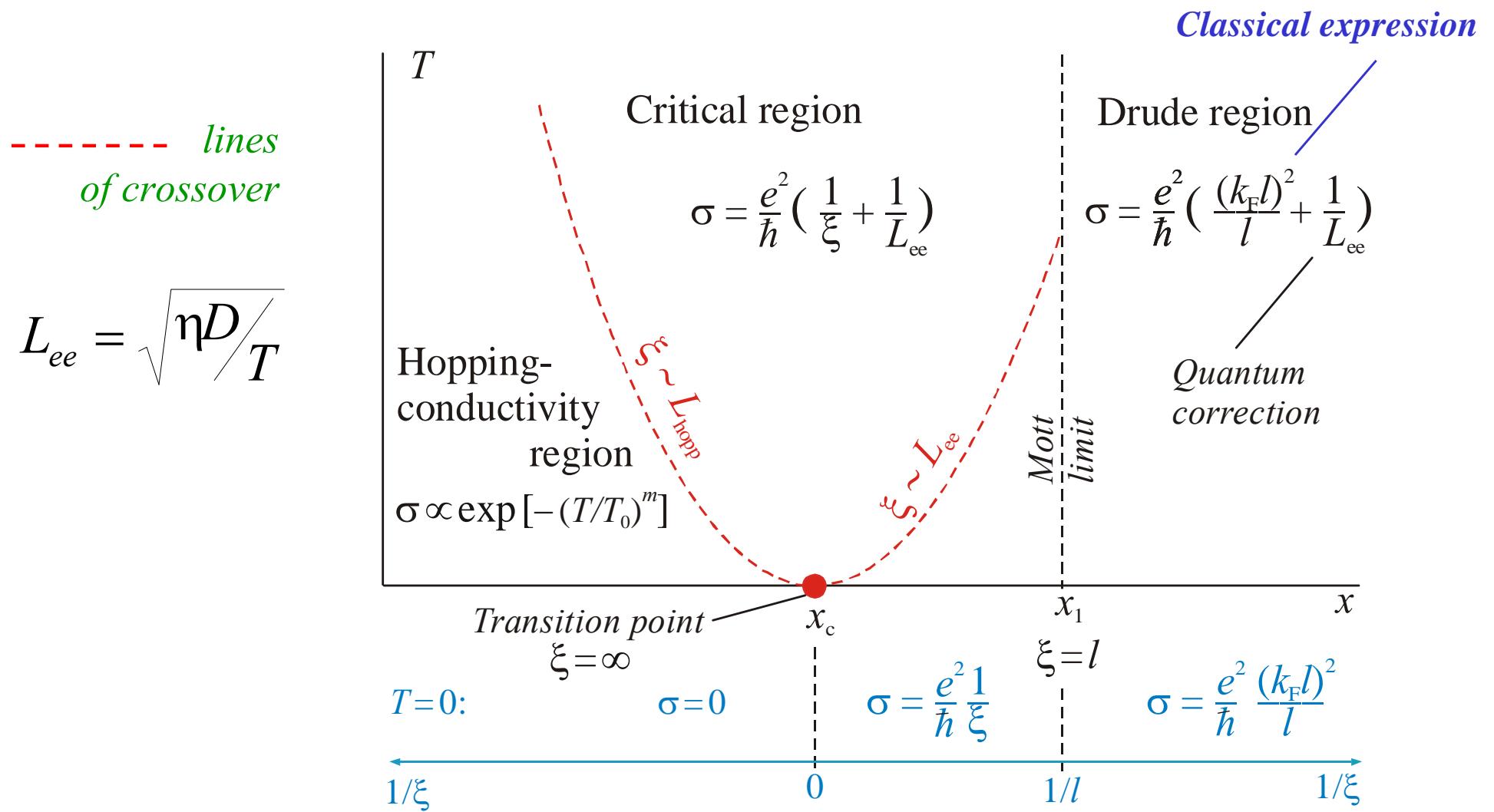
Two lengths:  $\xi$  and  $L_\phi \propto \xi_t^{1/z} \propto (\eta/T)^{1/z}$

*Scaling expression for conductance*

$$\sigma \propto \xi^{2-d} f\left(\frac{L_\phi}{\xi}\right) \text{ contains}$$

*two scaling variables :  $\xi$  and  $u = L_\phi/\xi$*

# $(x, T)$ – diagram, which follows from AALR-1979



The description includes **two** lengths:  $\xi$  and  $L_{ee}$ , both diverge in the transition point

## ***Function $\sigma(T)$ in the critical region***

*In metal region at  $T \neq 0$*        $\sigma = \sigma_{03} + \frac{e^2}{\eta} \frac{1}{L_T}$        $L_T = \sqrt{D\eta/T},$

*In the vicinity of the transition at  $T = 0$*        $\sigma = \frac{e^2}{\eta} \frac{1}{\xi}$

*Interpolation*

$$\sigma = \frac{e^2}{\eta} \left( \frac{1}{\xi} + \frac{1}{L_T} \right)$$

$$\begin{cases} \sigma = \frac{e^2}{\eta} \left( \frac{1}{\xi} + \frac{1}{L_T} \right) \\ \sigma = e^2 g_F D \end{cases} \xrightarrow{\xi \rightarrow \infty} \sigma = \frac{e^2}{\eta} \left( \frac{1}{\xi} + (Tg_F)^{1/3} \right)$$

The Einstein relation

*E.Abrahams, P.W.Anderson,*

*D.C.Licciardello, and*

*T.W.Ramakrishnan,*

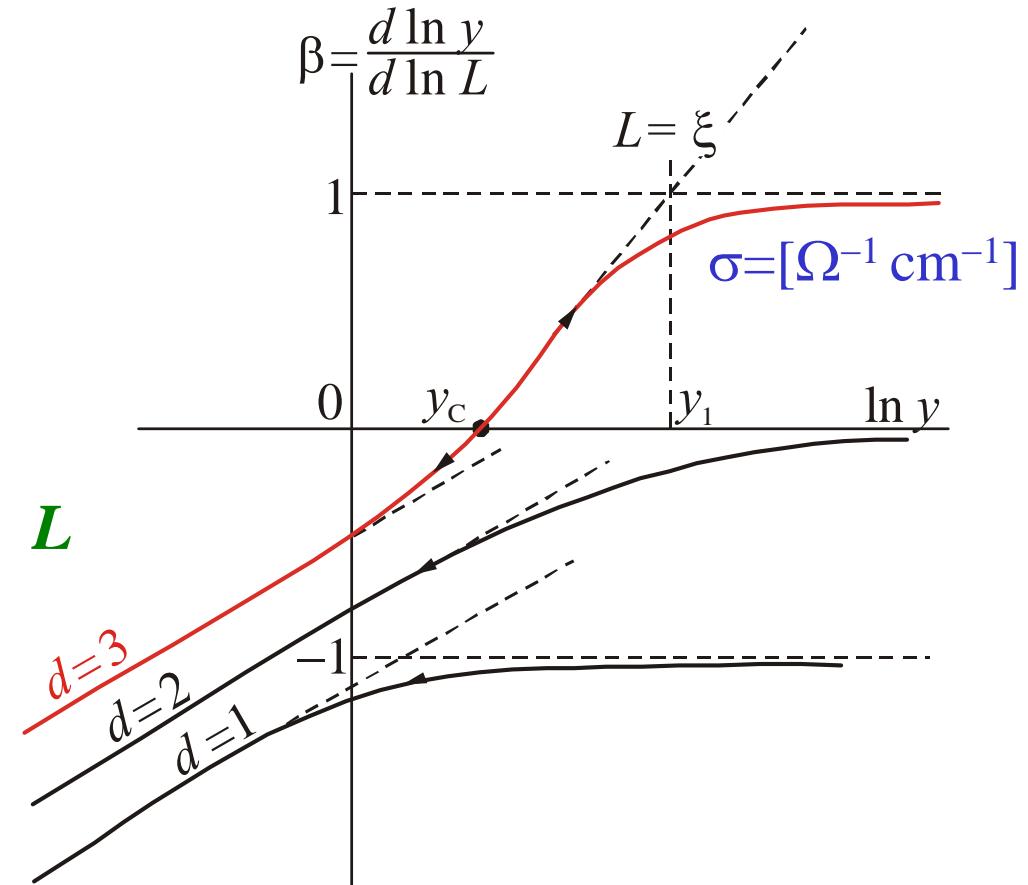
Phys.Rev.Lett. **42**, 673 (1979)

(AALR-1979)

*y(L) is conductance of a cube of size L*

With *d=3*,

1. at  $y_c$  the metal-insulator transition takes place;
2. the value of the three-dimensional conductivity of a rather large sample may be infinitesimal;
3. the metal--insulator transition is continuous.



# *Metal - insulator transition*

Theoretical scheme

AALR

QPT

Driving factor

*Disorder*

*Interaction*

Features in common

*Two lengths,  $\xi$  and  $L_\phi$ , in the vicinity of the transition*  
*Similar shape of the critical region*

Scaling  
expression  
**3D**

$$\sigma \propto \frac{1}{\xi} + \frac{1}{L_\phi}$$

$$\sigma \propto \frac{1}{\xi} f\left(\frac{L_\phi}{\xi}\right) \quad f(x) = 1 + \frac{1}{x}$$

## 2D noninteracting electrons

Larkin and  
Khmelnitskii,  
1982

$$\left. \begin{array}{l} \sigma = \sigma_{02} - \frac{e^2}{\eta} \ln \frac{L_T}{l} \\ \sigma_{02} = \frac{ne^2 l}{\eta k_F} \approx \frac{e^2}{\eta} (k_F l) \end{array} \right\}$$

*Estimate of crossover temperature:*

$$\sigma \sim 0$$

$$k_F l = \ln \frac{L_T}{l} \quad L_T \equiv \xi = l \exp(k_F l)$$

**Inserting**

$$L_T = \sqrt{\frac{D\eta}{T}}$$

**we get**

$$T_\xi = \frac{D\eta}{\xi^2}$$

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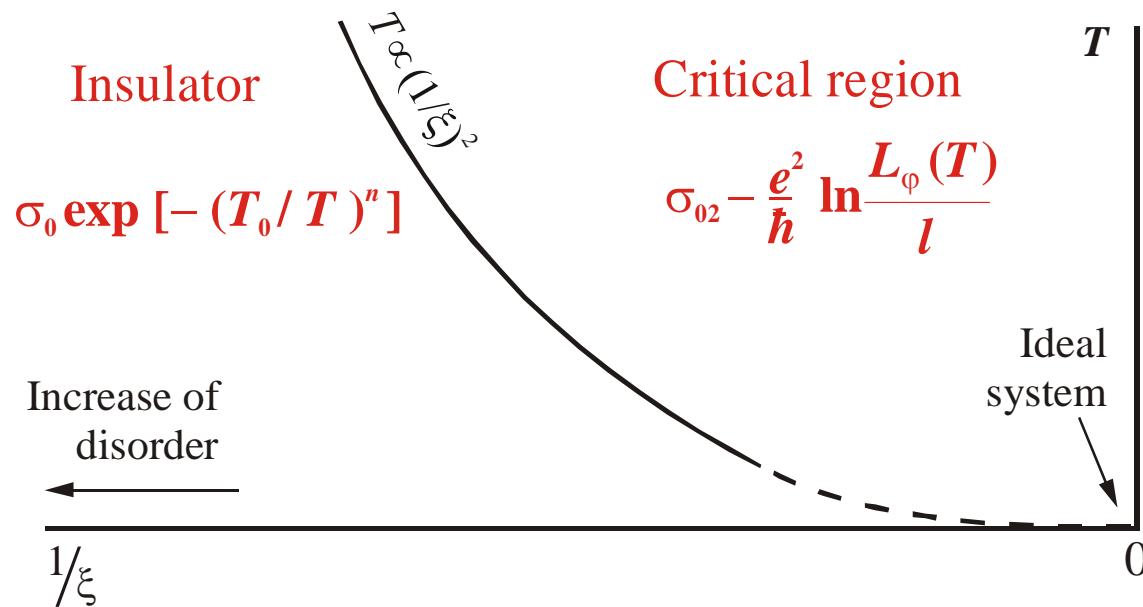
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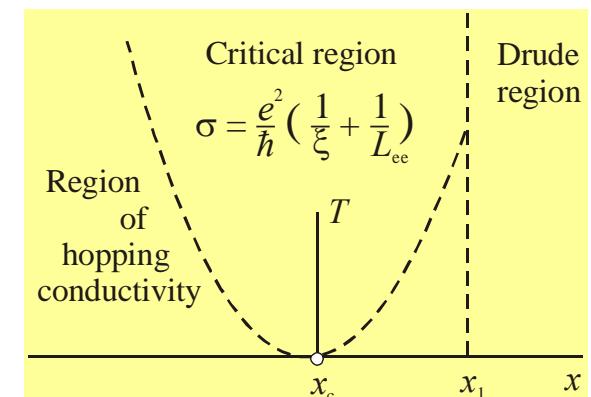
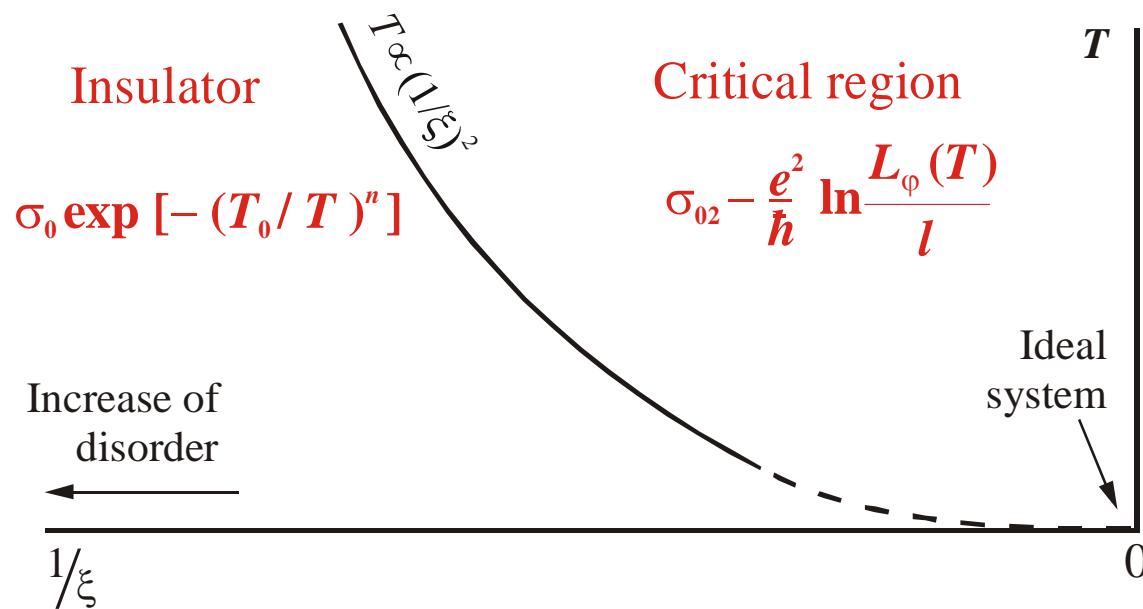
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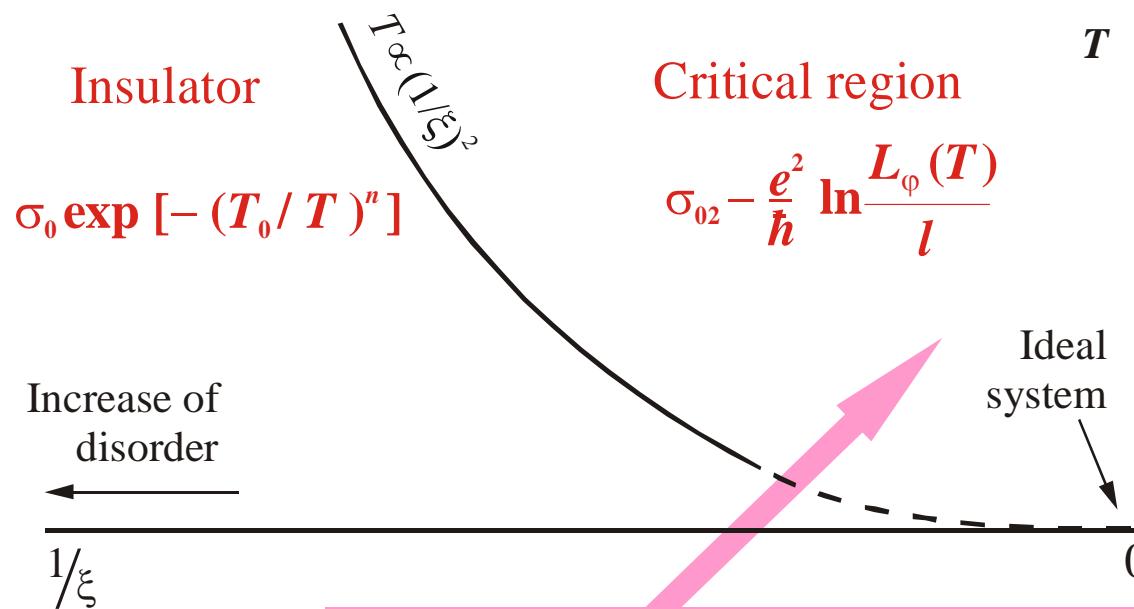
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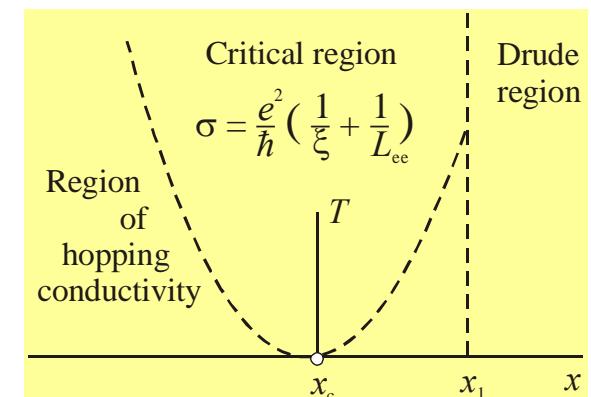
*Inserting*

$$L_T = \sqrt{D\eta/T}, \quad \text{we get}$$

$$T_\xi = D\eta/\xi^2$$



$$k_F l - \ln \frac{L_T}{l} = k_F l - \ln \frac{L_T}{\xi \exp(-k_F l)} = \ln \frac{L_T}{\xi}$$



## 2D interacting electrons

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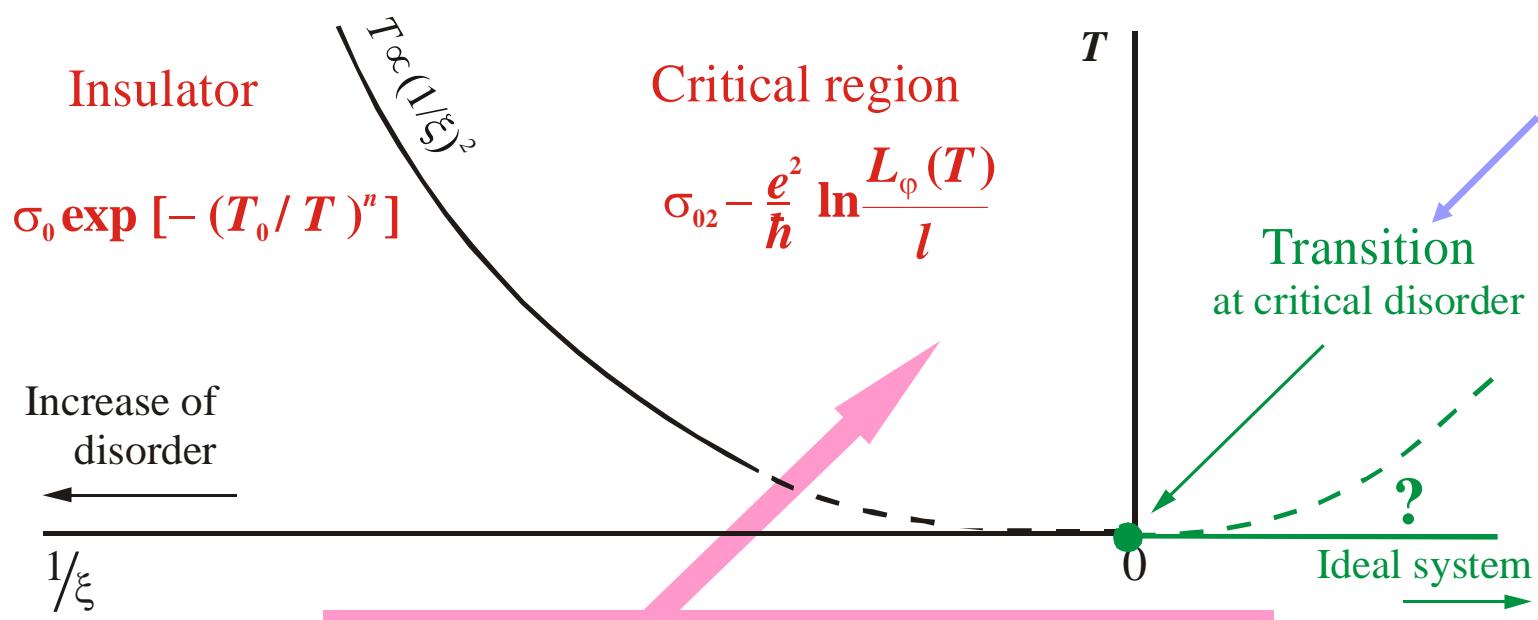
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**Inserting**

$$L_T = \sqrt{D\eta/T}$$

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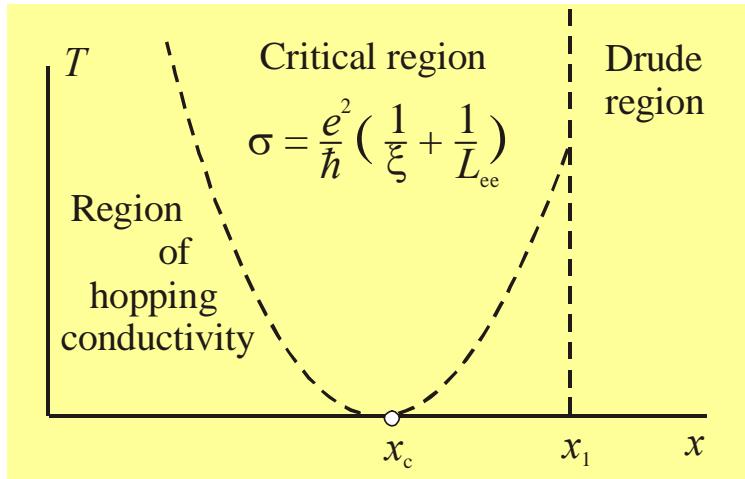
$$T_\xi = D\eta/\xi^2$$



A. Punnoose,  
A. Finkelstein,  
Science, 310,  
289 (2005)

$$k_F l - \ln \frac{L_T}{l} = k_F l - \ln \frac{L_T}{\xi \exp(-k_F l)} = \ln \frac{L_T}{\xi}$$

I.Shlimak, M.Kaveh, R.Ussyshkin, et al., Phys.Rev.Lett. **77**, 1103 (1996);  
 J.Phys.:Cond.Matt. **9**, 9873 (1997)

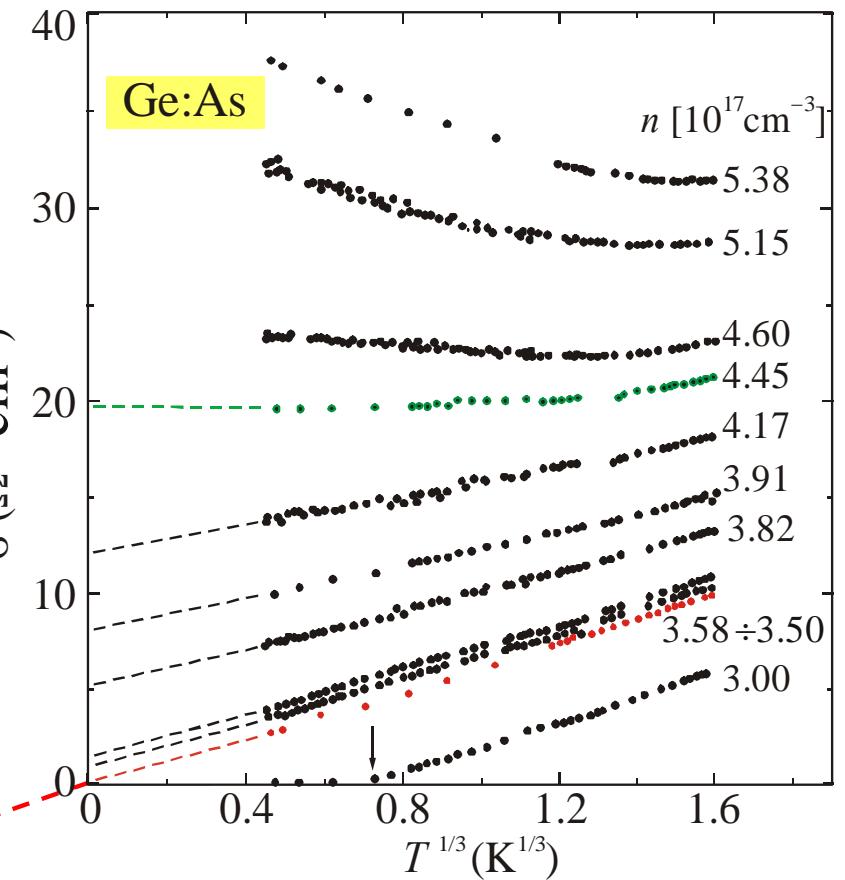


*Critical region*

$$\sigma = \frac{e^2}{\eta} \left( \pm \frac{1}{\xi} + (g_F T)^{\frac{1}{3}} \right)$$

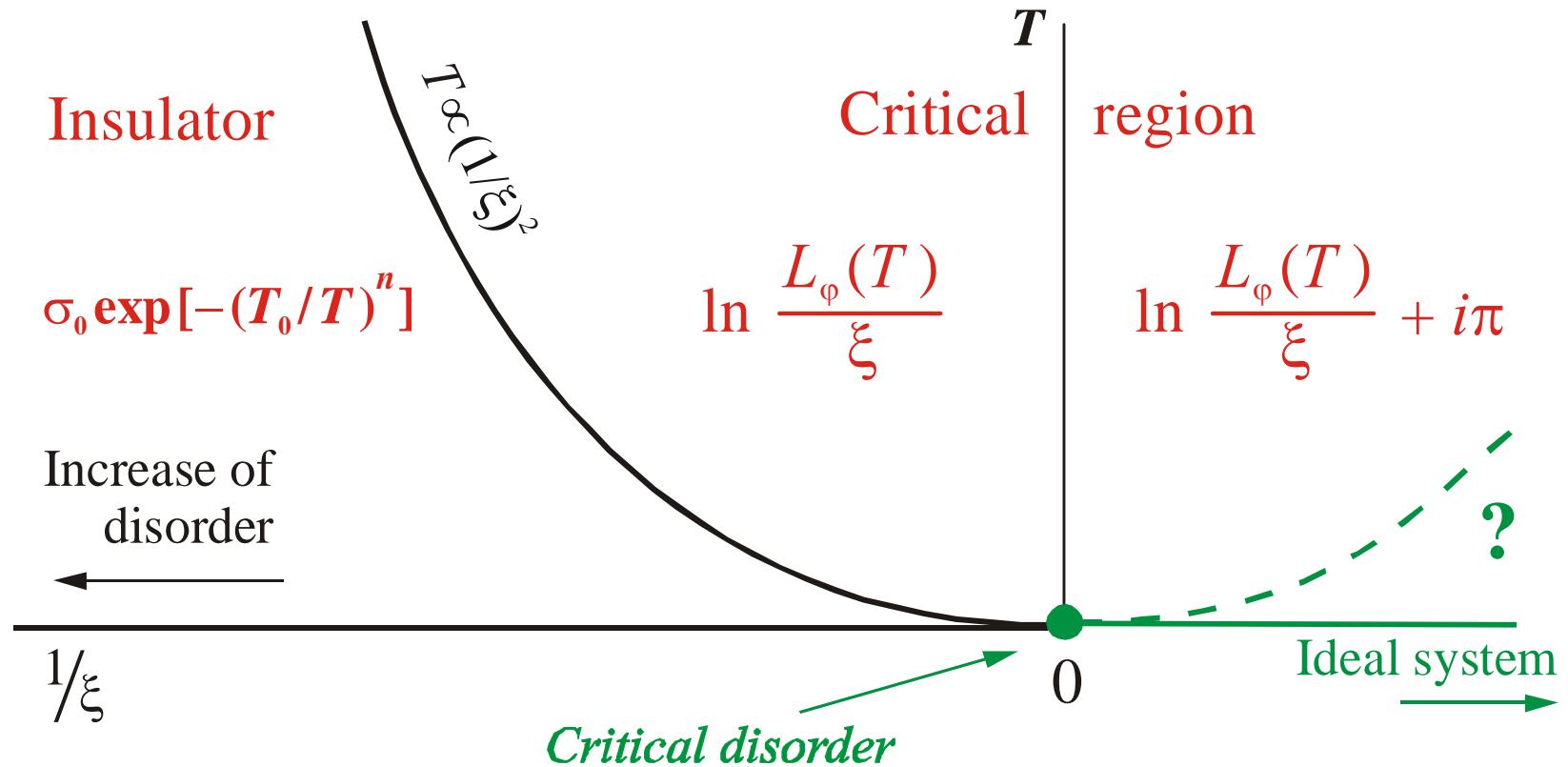
*Transition*

+  $\xi$



-  $\xi$

2D interacting electrons  
(hypothetical phase diagram)



*H. Fröhlich,  
Proc. R. Soc.  
221, 296 (1954)*

# *Metal - insulator transition*

Theoretical scheme

AALR

QPT

Driving factor

*Disorder*

*Interaction*

Features in common

*Two lengths,  $\xi$  and  $L_\phi$ , in the vicinity of the transition*  
*Similar shape of the critical region*

Scaling  
expression  
**3D**

$$\sigma \propto \frac{1}{\xi} + \frac{1}{L_\phi}$$

$$\sigma \propto \frac{1}{\xi} f\left(\frac{L_\phi}{\xi}\right) \quad f(x) = 1 + \frac{1}{x}$$

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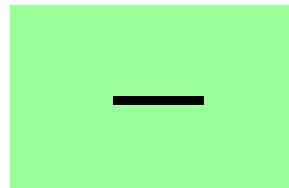
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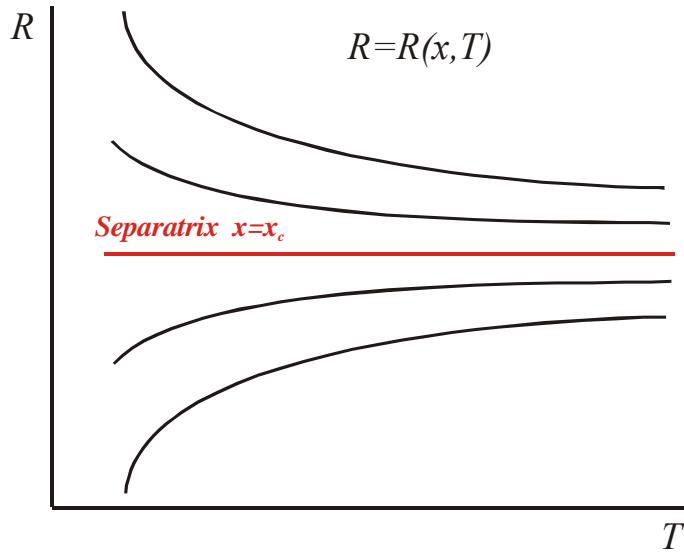
$$\sigma \propto \frac{1}{\xi} f\left(\frac{L_\phi}{\xi}\right) \quad f(x) = 1 + \frac{1}{x}$$

Scaling  
expression  
**2D**



$$\sigma \propto \ln\left(\frac{L_\phi}{\xi}\right)$$

## 2D superconductor-insulator transition (theoretical aspect)



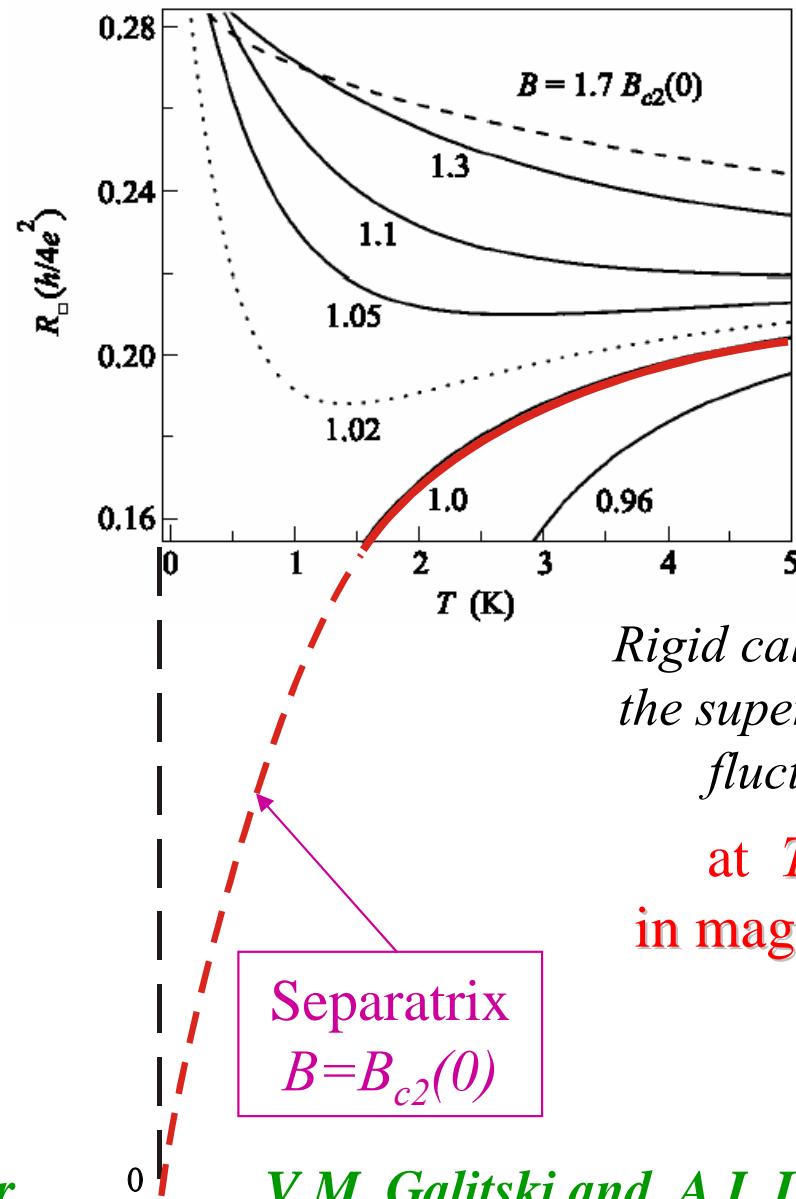
Scaling variable in **2D** is

$$\frac{L_\phi}{\xi} \rightarrow \frac{\Delta x}{T^{1/z\nu}} \equiv u \quad \text{and} \quad R = R_c f(u)$$

Along the separatrix  $u = \text{const} = 0$  and

$$R = R_c f(0) = R_c$$

**S.L.Sondhi, S.M.Girvin, J.P.Carini, D.Shahar,**  
*Rev. Mod. Phys. 69, 315 (1997)*

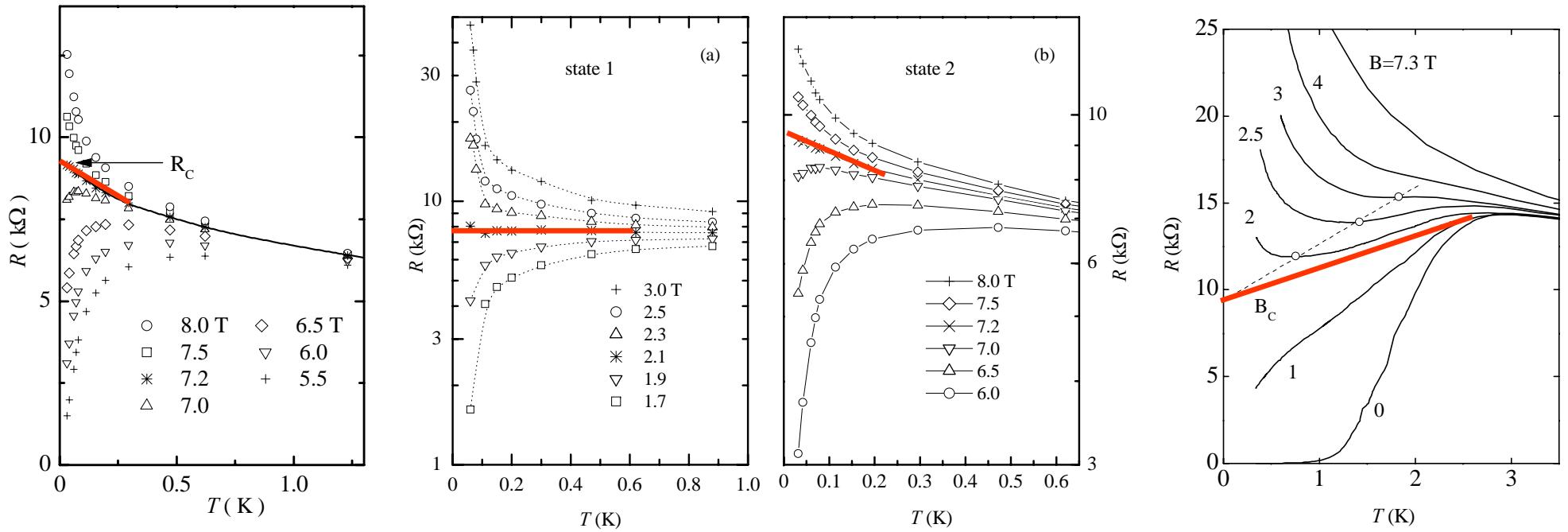


*Rigid calculations of  
the superconducting  
fluctuations  
at  $T \ll T_{c0}$   
in magnetic field*

**V.M. Galitski and A.I. Larkin,**  
*Phys. Rev. B 63, 174506 (2001)*

# 2D superconductor-insulator transition (experimental aspect)

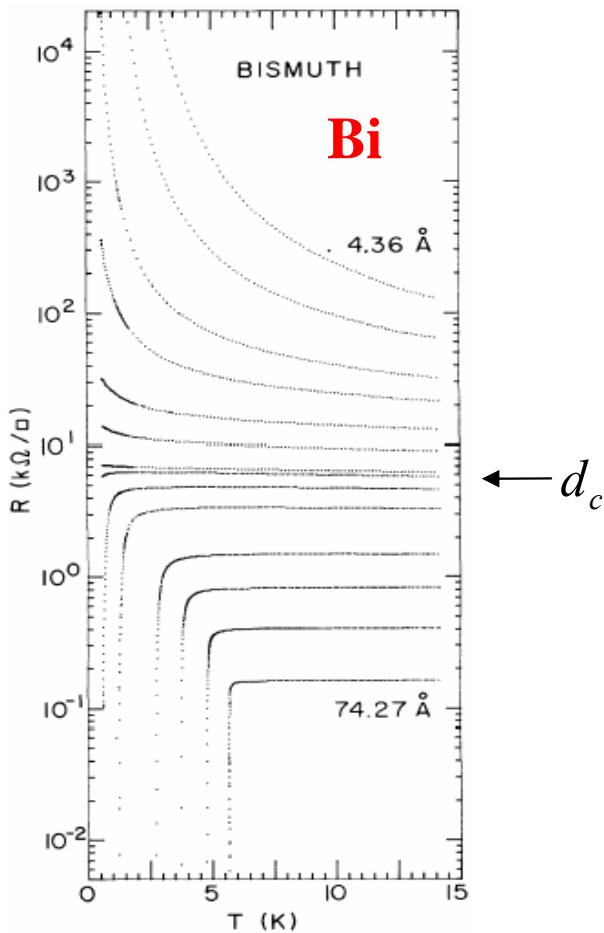
## *Superconductor-insulator transition in In-O*



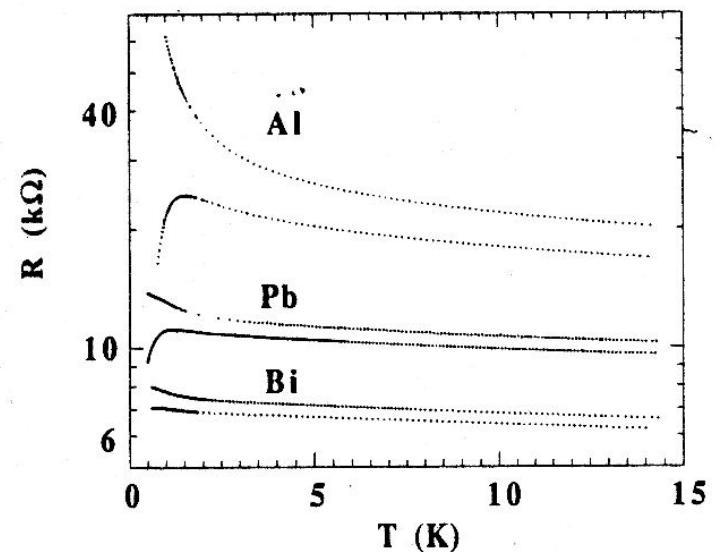
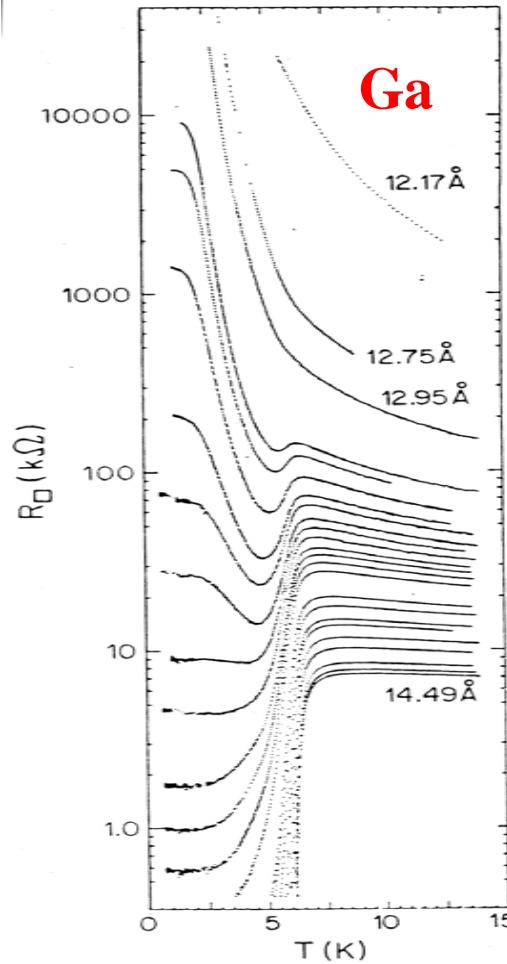
The separatrix may have non-zero slope

# Superconductor-insulator transition in ultrathin films

$d < d_c$  : Insulator



$d > d_c$  : Superconductor



D.B.Haviland, A.M.Goldman, et al.

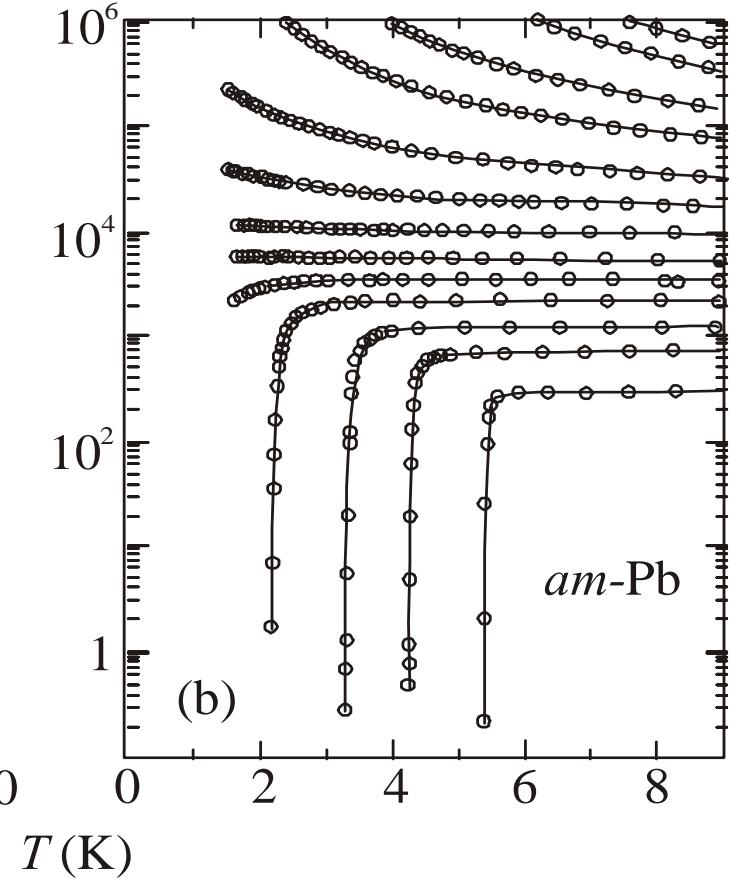
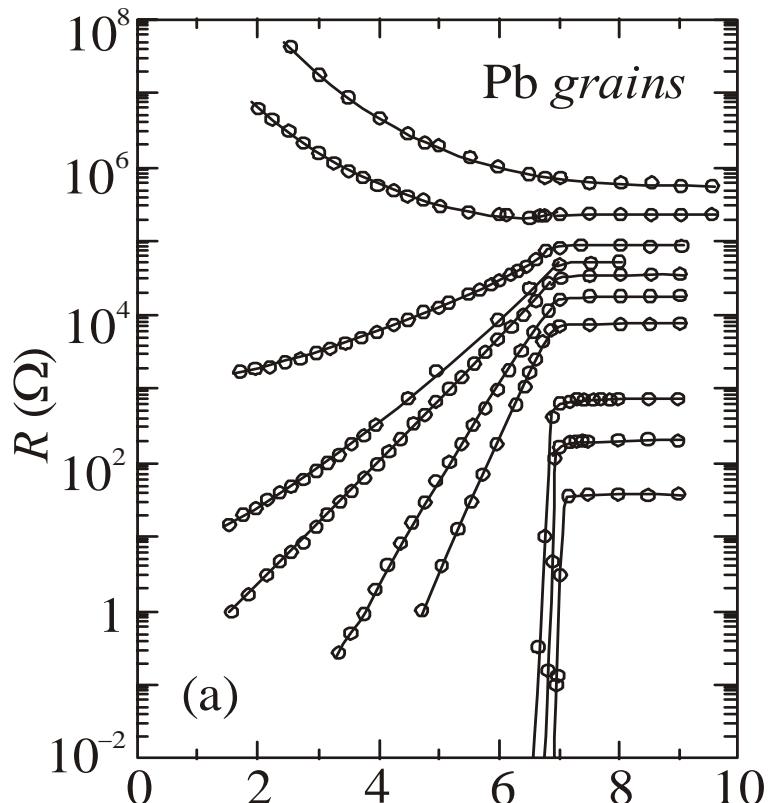
PRL, 1989

PRL, 1986

PRB, 1993

# 2D superconductor-insulator transition (experimental aspect)

*A. Frydman,  
Physica C  
391, 189 (2003)*



**Granular system**

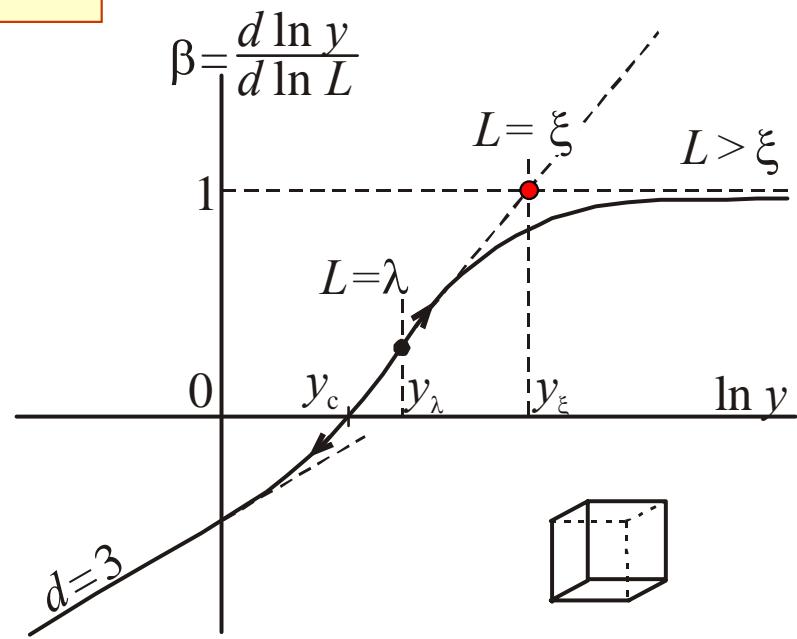
Level spacing

$$\delta\varepsilon = (g_F a^3)^{-1} < \Delta_{sc}$$

**Homogeneously disordered system**

$$\delta\varepsilon = (g_F a^3)^{-1} > \Delta_{sc}$$

**$d = 3$**



*Equation of the straight line*

$$\frac{d \ln y}{d \ln L} = s \ln \frac{y}{y_c}$$

*Solution*

$$\ln \frac{y}{y_c} = \left( \frac{L}{\lambda} \right)^s \ln \frac{y_\lambda}{y_c}$$

$u = \ln y, \quad x = \ln L$
$\frac{du}{dx} = s(u - u_c)$
$u - u_c = U e^{sx}$

In the point •  $\beta = 1$  and  $\ln y_\xi / y_c = 1/s$ .  
Hence

$$\ln y_\xi = \ln y_c + \frac{1}{s} = \text{const} \rightarrow y_\xi = A \rightarrow Y_\xi = A \frac{e^2}{\eta} \rightarrow$$

$$\sigma = \left( A \frac{e^2}{\eta} \right) \frac{1}{\xi}$$

Quantity  $\xi$  may be written with the help of  $\lambda$

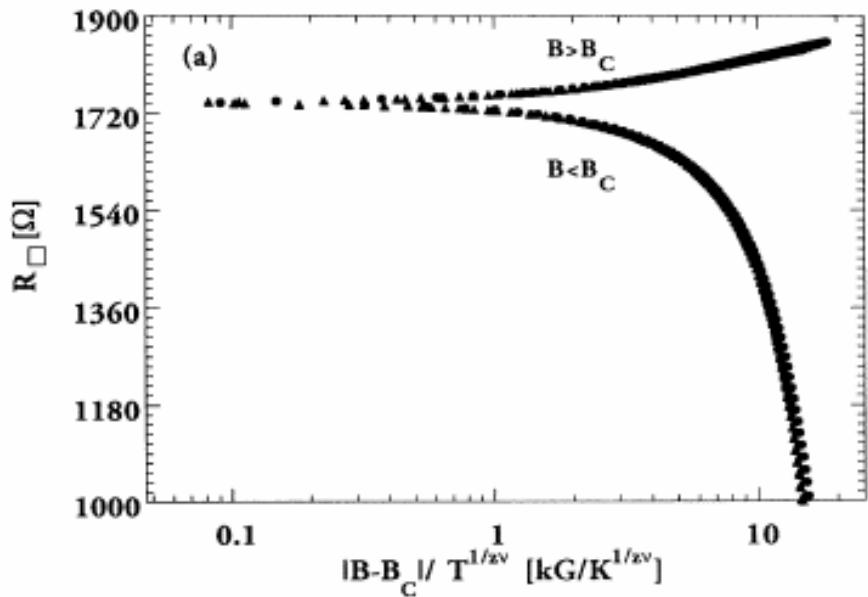
$$\xi \approx \lambda \left( s \ln \frac{y_\lambda}{y_c} \right)^{-1/s}, \quad \text{i.e.}$$

$\xi \rightarrow \infty$   
as  $y_\lambda \rightarrow y_c$

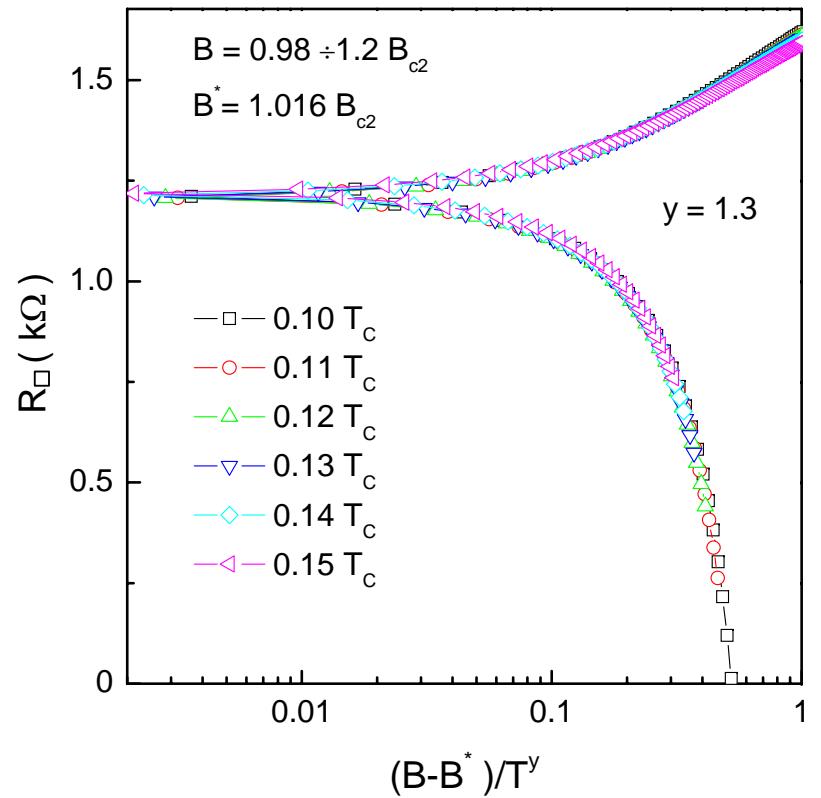
**Conductance  $\sigma$  may be infinitesimally small**

# Scaling

*A.Yazdani and A.Kapitulnik (1995)*



$$\begin{aligned} T_c &= 0.15 \text{ K} & B_c &= 4.19 \text{ kG} \\ T &= 0.08 \div 0.11 \text{ K} & B - B_c &< 1 \text{ kG} \\ z \nu &= 1.36 \end{aligned}$$



*Theoretical expression does not contain scaling properties, but in restricted temperature interval scaling presentation looks credible*